#### Edgar Filing: EASTMAN KODAK CO - Form 5

EASTMAN KODAK CO Form 5 January 29, 2015 **FORM 5** 

#### **OMB APPROVAL** OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. **ANNUAL STATEMENT OF CHANGES IN BENEFICIAL** Estimated average Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person <sup>\*</sup> 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer MARX MOSES Symbol EASTMAN KODAK CO [KODK] (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Director \_X\_\_ 10% Owner Officer (give title Other (specify 12/31/2014 below) below)

4. If Amendment, Date Original

Filed(Month/Day/Year)

### 160 BROADWAY

(Street)

### NEW YORK, NYÂ 10038

\_X\_ Form Filed by One Reporting Person \_\_\_\_ Form Filed by More than One Reporting Person

6. Individual or Joint/Group Reporting

(check applicable line)

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securiti (A) or Dis (Instr. 3, 4)	posed	of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/20/2014	Â	P4	2,500	А	\$ 20	2,500	Ι	By 111 John Realty Corp. $(1)$
Common Stock	12/03/2014	Â	P4	1,814.5	А	\$ 21.2	4,314.5	I	By 111 John Realty Corp.
Common Stock	12/04/2014	Â	P4	685.5	А	\$ 21.2	5,000	I	By 111 John Realty Corp. $(1)$
	12/08/2014	Â	P4	2,500	А	\$ 21.8	7,500	Ι	

Common Stock									By 111 John Realty Corp.
Common Stock	12/18/2014	Â	P4	3,906	A	\$ 19.9	11,406	Ι	By 111 John Realty Corp. $(1)$
Common Stock	12/22/2014	Â	P4	1,094	А	\$ 20.72	12,500	I	By 111 John Realty Corp. (1)
Common Stock	12/23/2014	Â	P4	4,911.5	А	\$ 20.7	17,411.5	I	By 111 John Realty Corp. $(1)$
Common Stock	12/24/2014	Â	P4	88.5	А	\$ 20.67	17,500	Ι	By 111 John Realty Corp. $(1)$
Common Stock	12/30/2014	Â	P4	223.5	А	\$ 21.49	17,723.5	I	By 111 John Realty Corp. $(1)$
Common Stock	Â	Â	Â	Â	Â	Â	222,285	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	1,486,399.86	I	By United Equities Commodities Company (2)
Common Stock	Â	Â	Â	Â	Â	Â	5,436.75	Ι	By Marneu Holding Company (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 2270 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D		Amou		Derivative	of
Security	or Exercise	(Wondi/Day/Tear)	· · · · · ·	Code	of	(Month/Day/		Under		Security	D
2			any			· ·	(teal)		• •	2	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Se
	Derivative				Securities	5		(Instr.	3 and 4)		B
	Security				Acquired						0
					(A) or						Eı
					Disposed						Is
					of (D)						Fi
					(Instr. 3,						(I
					4, and 5)						
					(A) (D)	Date	Expiration	Title	Amount		
						Exercisable	Date		or		
						Excicitatole	Duit				
									Number		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
1 0	Director	10% Owner	Officer	Other				
MARX MOSES 160 BROADWAY NEW YORK, NY 10038	Â	ÂX	Â	Â				
Signatures								
/s/ Moses Marx 01/2	29/2015							

<u>\*\*</u>Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person and his spouse jointly hold a 50% interest in 111 John Realty Corp. The number of securities beneficially owned(1) differs from the number that would be reported in a Schedule 13D inasmuch as the reporting person has a pecuniary interest in fewer than all securities held by this entity.

The reporting person is a 99% general partner of United Equities Commodities Company. The number of securities beneficially owned (2) differs from the number reported in Schedule 13D filed by the reporting person inasmuch as the reporting person has a pecuniary interest.

- (2) differs from the number reported in Schedule 13D filed by the reporting person inasmuch as the reporting person has a pecuniary interest in fewer than all securities held by this entity.
- The reporting person is a direct and indirect 75% holder of Marneu Holding Company. The number of securities beneficially owned(3) differs from the number reported in Schedule 13D filed by the reporting person inasmuch as the reporting person has a pecuniary interest in fewer than all securities held by this entity.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.