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21ST CENTURY HOLDING CO
Form 8-K
September 20, 2004

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(D) OF THE
SECURITIES EXCHANGE ACT OF 1934

DATE OF REPORT: SEPTEMBER 17, 2004

(DATE OF EARLIEST EVENT REPORTED)

21ST CENTURY HOLDING COMPANY

(EXACT NAME OF REGISTRANT AS SPECIFIED IN ITS CHARTER)

FLORIDA

0-2500111

65-02

(STATE OR OTHER JURISDICTION OF
INCORPORATION)

(COMMISSION FILE NUMBER)

(I.R.S. EMPLOYER I

3661 WEST OAKLAND PARK BLVD., SUITE 300
LAUDERDALE LAKES, FL

33311

(ADDRESS OF PRINCIPAL EXECUTIVE OFFICES)

(ZIP CODE)

REGISTRANT'S TELEPHONE NUMBER, INCLUDING AREA CODE: (954) 581-9993

NOT APPLICABLE

(FORMER NAME OR FORMER ADDRESS, IF CHANGED SINCE LAST REPORT)

CHECK THE APPROPRIATE BOX BELOW IF THE FORM 8-K FILING IS INTENDED TO
SIMULTANEOUSLY SATISFY THE FILING OBLIGATION OF THE REGISTRANT UNDER ANY OF THE
FOLLOWING PROVISIONS (SEE GENERAL INSTRUCTION A.2. BELOW):

- ☐ WRITTEN COMMUNICATIONS PURSUANT TO RULE 425 UNDER THE SECURITIES ACT (17
CFR 230.425)
- ☐ SOLICITING MATERIAL PURSUANT TO RULE 14A-12 UNDER THE EXCHANGE ACT (17 CFR
240.14A-12)
- ☐ PRE-COMMENCEMENT COMMUNICATIONS PURSUANT TO RULE 14D-2(B) UNDER THE
EXCHANGE ACT (17 CFR 240.14D-2(B))
- ☐ PRE-COMMENCEMENT COMMUNICATIONS PURSUANT TO RULE 13E-4(C) UNDER THE

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EXCHANGE ACT (17 CFT 240.13E-4(C))

ITEM 7. FINANCIAL STATEMENTS, PRO FORMA FINANCIAL INFORMATION AND EXHIBITS.

(a) Exhibits.

99.1 21st Century Holding Company Press Release, dated September 17, 2004.

99.2 21st Century Holding Company Press Release, dated September 17, 2004.

ITEM 12. RESULTS OF OPERATIONS AND FINANCIAL CONDITION.

On September 17, 2004, 21st Century Holding Company issued two press releases relating to the impact of Hurricane Ivan and guidance regarding the Company's anticipated earnings for 2004 and 2005. A copy of each press release is attached to this current report on Form 8-K as Exhibits 99.1 and 99.2 and incorporated herein reference.

The information in this Current Report on Form 8-K and Exhibits 99.1 and 99.2 attached hereto is hereby intended to be furnished pursuant to Item 12. "Results of Operations and Financial Condition." As provided in General Instruction B.6 of SEC Form 8-K, such information shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, and it shall not be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or under the Exchange Act, whether made before or after the date hereof, except as expressly set forth by specific reference in such filing to this Current Report on Form 8-K.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

21ST CENTURY HOLDING COMPANY

Date: September 20, 2004

By: /s/ RICHARD A. WIDDICOMBE

Name: Richard A. Widdicombe

Title: Chief Executive Officer

(Principal Executive Officer)

Date: September 20, 2004

By: /s/ JAMES GORDON JENNINGS, III

Name: James Gordon Jennings, III

Title: Chief Financial Officer

(Principal Accounting and Financial Officer)

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EXHIBIT INDEX

EXHIBIT NO. -----	EXHIBIT TITLE -----
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