

LANDMARK BANCORP INC

Form 5

March 06, 2015

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**BALL RICHARD**

2. Issuer Name and Ticker or Trading Symbol  
**LANDMARK BANCORP INC [LARK]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 03/03/2014

Director  10% Owner  
 Officer (give title below)  Other (specify below)

2006 BROADWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

GREAT BEND, KS 67530

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/03/2014	Â	P	519	A	\$ 19.37	70,962 <sup>(2)</sup>	D	Â
Common Stock	05/28/2014	Â	P	522	A	\$ 19.46	70,962 <sup>(2)</sup>	D	Â
Common Stock	08/25/2014	Â	P	492	A	\$ 20.87	70,962 <sup>(2)</sup>	D	Â
Common Stock	11/28/2014	Â	P	477	A	\$ 21.72	70,962 <sup>(2)</sup>	D	Â

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Common Stock	03/03/2014	Â	P	2	A	\$ 19.37	244 <u>(2)</u>	I	Spouse
Common Stock	05/28/2014	Â	P	2	A	\$ 19.46	244 <u>(2)</u>	I	Spouse
Common Stock	08/25/2014	Â	P	2	A	\$ 20.87	244 <u>(2)</u>	I	Spouse
Common Stock	11/28/2014	Â	P	2	A	\$ 21.72	244 <u>(2)</u>	I	Spouse
Common Stock	03/03/2014	Â	P	102	A	\$ 19.37	11,352 <u>(2)</u>	I	IRA
Common Stock	05/28/2014	Â	P	102	A	\$ 19.46	11,352 <u>(2)</u>	I	IRA
Common Stock	08/25/2014	Â	P	97	A	\$ 20.87	11,352 <u>(2)</u>	I	IRA
Common Stock	11/28/2014	Â	P	94	A	\$ 21.72	11,352 <u>(2)</u>	I	IRA
Common Stock	03/03/2014	Â	P	7	A	\$ 19.37	823 <u>(2)</u>	I	Spouse IRA
Common Stock	05/28/2014	Â	P	7	A	\$ 19.46	823 <u>(2)</u>	I	Spouse IRA
Common Stock	08/25/2014	Â	P	7	A	\$ 20.87	823 <u>(2)</u>	I	Spouse IRA
Common Stock	11/28/2014	Â	P	7	A	\$ 21.72	823 <u>(2)</u>	I	Spouse IRA
Common Stock	03/03/2014	Â	P	74	A	\$ 19.37	8,251 <u>(2)</u>	I	Pension
Common Stock	05/28/2014	Â	P	75	A	\$ 19.46	8,251 <u>(2)</u>	I	Pension
Common Stock	08/25/2014	Â	P	70	A	\$ 20.87	8,251 <u>(2)</u>	I	Pension
Common Stock	11/28/2014	Â	P	68	A	\$ 21.72	8,251 <u>(2)</u>	I	Pension
Common Stock	03/03/2014	Â	P	4	A	\$ 19.37	488 <u>(2)</u>	I	As Trustee
Common Stock	05/28/2014	Â	P	4	A	\$ 19.46	488 <u>(2)</u>	I	As Trustee
Common Stock	08/25/2014	Â	P	4	A	\$ 20.87	488 <u>(2)</u>	I	As Trustee
Common Stock	11/28/2014	Â	P	4	A	\$ 21.72	488 <u>(2)</u>	I	As Trustee
	Â	Â	Â	Â	Â	Â	8,428 <u>(2)</u>	I	

Common  
Stock

See  
Footnote  
(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E Is Fi (I
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BALL RICHARD 2006 BROADWAY GREAT BEND, KS 67530	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Signatures

Mark Herpich as Attorney in Fact for  
Richard Ball

03/06/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares held by a company in which Mr. Ball has a controlled position or interest in.

(2) As adjusted for the Company's 5% stock dividend.

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