

Emergent BioSolutions Inc.  
Form 4  
June 17, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Havey Adam

(Last) (First) (Middle)  
400 PROFESSIONAL DR, SUITE 400  
(Street)

GAITHERSBURG, MD 20879

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Emergent BioSolutions Inc. [EBS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/15/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, Biodefense Division

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |  |
| Common Stock <u>(1)</u>         | 06/15/2015                           |  | M                              |   | \$ 14.67 57,363   | D  |  |
| Common Stock <u>(1) (2)</u>     | 06/15/2015                           |  | S                              |   | \$ 31.502 41,444 <u>(3)</u>   | D  |  |
| Common Stock <u>(4)</u>         | 06/15/2015                           |  | M                              |   | \$ 15.83 51,217   | D  |  |
| Common Stock <u>(4) (2)</u>     | 06/15/2015                           |  | S                              |   | \$ 31.665 41,444 <u>(5)</u>   | D  |  |
|                                 | 06/15/2015                           |  | S                              |   | 10,606 30,838   | D  |  |

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Common Stock <sup>(2)</sup> \$ 31.502 <sub>(6)</sub>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option <sup>(1)</sup>                | \$ 14.67   | 06/15/2015                           |  | M                              | 15,919  | <sup>(7)</sup> 03/11/2010                                | Common Stock  | 15,919                        |
| Stock Option <sup>(4)</sup>                | \$ 15.83   | 06/15/2015                           |  | M                              | 9,773   | <sup>(8)</sup> 03/12/2019                                | Common Stock  | 9,773                         |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                               |       |
|--|---------------|-----------|-------------------------------|-------|
|  | Director      | 10% Owner | Officer                       | Other |
| Havey Adam<br>400 PROFESSIONAL DR, SUITE 400<br>GAITHERSBURG, MD 20879 |               |           | EVP,<br>Biodefense<br>Divison |       |

## Signatures

/s/ Eric Burt, attorney-in-fact 06/17/2015

        Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Consists of an option granted under the company's stock incentive plan on March 12, 2013.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Mr. Havey.
- (3) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.055 to \$31.77, inclusive.
- (4) Consists of an option granted under the company's stock incentive plan on March 13, 2012.
- (5) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.66 to \$31.72, inclusive.
- (6) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.03 to \$31.76, inclusive.
- (7) The option vested in three equal installments in March 2014, 2015 and 2016
- (8) The option vested in three equal installments in March 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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