Corium International, Inc.

Form 3

April 02, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

À BREUIL ROBERT S

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/02/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

C/O CORIUM

INTERNATIONAL, INC., Â 235

CONSTITUTION DRIVE

(Street)

Corium International, Inc. [CORI]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director \_X\_\_ Officer

10% Owner

Other (give title below) (specify below) Chief Financial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

MENLO PARK. CAÂ 94025

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Exercisable

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion or Exercise

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

(Instr. 4)

Expiration Date

Title

Amount or Number of Derivative Security

Price of

Derivative Security: Direct (D)

### Edgar Filing: Corium International, Inc. - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	12/12/2022	Common Stock	178,217	\$ 2.222	D	Â
Employee Stock Option (Right to Buy)	(2)	01/26/2024	Common Stock	41,583	\$ 4.141	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 9	Director	10% Owner	Officer	Other		
BREUIL ROBERT S C/O CORIUM INTERNATIONAL, INC. 235 CONSTITUTION DRIVE	Â	Â	Chief Financial Officer	Â		
MENLO PARK, CA 94025						

### **Signatures**

/s/Robert Breuil by Christina Dickerson, Attorney-in-Fact

04/02/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option vested as to 1/4th of the shares on September 4, 2013 and the remaining shares shall continue to vest as to 1/48th of the total shares in monthly installments.
- (2) The stock option vested and shall continue to vest as to 1/48th of the total number of shares on the anniversary date of each successive month commencing on February 27, 2014.

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#### **Remarks:**

Exhibit List - Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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