SPITZEN JAY Form 4 April 04, 2012

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SPITZEN JAY

2. Issuer Name and Ticker or Trading

Symbol

VOCERA COMMUNICATIONS, INC. [VCRA]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last)

(First)

04/02/2012

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 04/02/2012

Director 10% Owner Other (specify \_X\_\_ Officer (give title

General Counsel, Secretary

below)

C/O VOCERA COMMUNICATIONS, INC., 525

**RACE STREET** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

37,845 (2)

D

SAN JOSE, CA 95126

(City)	(State) (Z	Zip) Table	e I - Non-E	erivative	Secur	ities Ac	quired, Disposed	of, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code V	Amount	` '	Price	(Instr. 3 and 4)		
Stock	04/02/2012		С	4,931	A	<u>(1)</u>	33,579 (2)	D	
Common Stock	04/02/2012		C	1,754	A	(1)	35,333 (2)	D	
Common Stock	04/02/2012		C	1,337	A	(1)	36,670 (2)	D	
Common Stock	04/02/2012		C	469	A	<u>(1)</u>	37,139 (2)	D	

706

 $\mathbf{C}$ 

Common Stock

Common Stock 4,684 I By Spitzen Children's Trust dated 9/26/2000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Convertible Preferred Stock	<u>(1)</u>	04/02/2012		С	4,931	<u>(1)</u>	<u>(1)</u>	Common Stock	4,931
Series C Convertible Preferred Stock	<u>(1)</u>	04/02/2012		С	1,754	<u>(1)</u>	<u>(1)</u>	Common Stock	1,754
Series D Convertible Preferred Stock	(1)	04/02/2012		C	1,337	<u>(1)</u>	<u>(1)</u>	Common Stock	1,337
Series E Convertible Preferred Stock	(1)	04/02/2012		С	469	<u>(1)</u>	<u>(1)</u>	Common Stock	469
Series F Convertible Preferred	(1)	04/02/2012		C	706	<u>(1)</u>	<u>(1)</u>	Common Stock	706

Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SPITZEN JAY C/O VOCERA COMMUNICATIONS, INC. 525 RACE STREET SAN JOSE, CA 95126

General Counsel, Secretary

# **Signatures**

/s/ Jay Spitzen 04/04/2012

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series A, Series C, Series D, Series E and Series F Convertible Preferred Stock converted into one share of common stock upon the completion of the Issuer's initial public offering and had no expiration date.
- (2) 21,626 shares are unvested and subject to a right of repurchase held by the Issuer, which right of repurchase lapses as to 976 shares per month through April 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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