

21ST CENTURY HOLDING CO

Form 4

June 02, 2008

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
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if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Braun Michael H

(Last) (First) (Middle)

3661 WEST OAKLAND PARK
BLVD, SUITE 300

(Street)

LAUDERDALE LAKES, FL 33311

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
21ST CENTURY HOLDING CO
[TCHC]3. Date of Earliest Transaction
(Month/Day/Year)
05/30/20084. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) Chief Operating Officer6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	05/30/2008		S		2,570	D	\$ 10.17	9,505	D
Common Stock	05/30/2008		S		1,275	D	\$ 10.18	8,230	D
Common Stock	05/30/2008		S		1,335	D	\$ 10.2	6,895	D
Common Stock	05/30/2008		S		200	D	\$ 10.21	6,695	D
Common Stock	05/30/2008		S		200	D	\$ 10.22	6,495	D

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Common Stock	05/30/2008	S	700	D	\$ 10.23	5,795	D
Common Stock	05/30/2008	S	2,195	D	\$ 10.24	3,600	D
Common Stock	05/30/2008	S	700	D	\$ 10.25	2,900	D
Common Stock	06/02/2008	X	15,000	A	\$ 9.167	17,900	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options	\$ 9.167	06/02/2008		X		15,000		06/04/2003 ⁽¹⁾	06/04/2008	Common Stock	15,000
Options	\$ 16							09/14/2006 ⁽²⁾	09/14/2011	Common Stock	5,000
Options	\$ 15.79							12/05/2006 ⁽²⁾	12/05/2011	Common Stock	20,000
Options	\$ 16.59							10/25/2008 ⁽²⁾	10/25/2013	Common Stock	5,000
Options	\$ 14.36							11/08/2008 ⁽²⁾	11/08/2013	Common Stock	20,000
Options	\$ 13.17							12/06/2008 ⁽²⁾	12/06/2013	Common Stock	500
Options	\$ 12.58							01/30/2009 ⁽²⁾	01/30/2014	Common Stock	4,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Braun Michael H 3661 WEST OAKLAND PARK BLVD SUITE 300 LAUDERDALE LAKES, FL 33311	X		Chief Operating Officer	

Signatures

Michael H.
Braun 06/02/2008

 Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options are fully vested.
- (2) The options vest 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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