

ROCKWELL COLLINS INC

Form 4

March 10, 2015

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
JASPER PHILIP J

(Last) (First) (Middle)

**M/S 120-102, 400 COLLINS ROAD
NE**

(Street)

CEDAR RAPIDS, IA 52498

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

ROCKWELL COLLINS INC [COL]

3. Date of Earliest Transaction
(Month/Day/Year)

03/06/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director _____ 10% Owner
____X____ Officer (give title _____ Other (specify
below) below)
Exec. VP & COO, Government Sys

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/06/2015		M ⁽¹⁾	V Amount (A) or (D) Price 1,460 A \$ 44.85	7,714.193 ⁽²⁾	D	
Common Stock	03/06/2015		M ⁽¹⁾	490 A \$ 52.2	8,204.193 ⁽²⁾	D	
Common Stock	03/06/2015		M ⁽¹⁾	1,570 A \$ 57.92	9,774.193 ⁽²⁾	D	
Common Stock	03/06/2015		M ⁽¹⁾	2,500 A \$ 66.57	12,274.193 ⁽²⁾	D	
Common Stock	03/06/2015		F ⁽¹⁾	4,423 D \$ 91.12	7,851.193 ⁽²⁾	D	

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Common Stock	1,104,2561 <u>(3)</u>	I	By Savings Plan
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (Right to Buy) ⁽⁴⁾	\$ 44.85	03/06/2015		M	1,460	⁽⁵⁾ 11/17/2015	Common Stock	1,460
Employee Stock Option (Right to Buy) ⁽⁴⁾	\$ 57.92	03/06/2015		M	1,570	⁽⁵⁾ 11/09/2016	Common Stock	1,570
Employee Stock Option (Right to Buy) ⁽⁴⁾	\$ 52.2	03/06/2015		M	490	⁽⁵⁾ 03/08/2016	Common Stock	490
Employee Stock Option (Right to Buy) ⁽⁴⁾	\$ 66.57	03/06/2015		M	2,500	⁽⁵⁾ 04/23/2017	Common Stock	2,500

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
JASPER PHILIP J M/S 120-102 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498	Exec. VP & COO, Government Sys

Signatures

Vaughn M. Klopfenstein	03/10/2015
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 2, 2015.
- (2) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of March 3, 2015. Includes shares acquired under a dividend reinvestment plan.
- (3) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of March 2, 2015.
- (4) Employee stock options granted pursuant to the Company's stock based plans.
- (5) The options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.