Kuipers Jacob A Form 4 March 27, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

**SUITE 300** 

1. Name and Address of Reporting Person \* Kuipers Jacob A

(First)

5875 LANDERBROOK DRIVE,

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

3. Date of Earliest Transaction

(Month/Day/Year) 03/25/2019

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	03/25/2019		Code V	Amount 1 (1)	(D)	Price \$ 60.11 (2)	590	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/25/2019		P	1 (1)	A	\$ 61.01 (3)	497	I	Spouse's proportionate interest in shares held by Rankin Associates VI

								<u>(4)</u>
Class A Common Stock	03/25/2019	P	1 (1)	A	\$ 61.01 (3)	589	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/25/2019	P	1 (1)	A	\$ 61.01 (3)	589	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/25/2019	P	2 (1)	A	\$ 62.36 (5)	499	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/25/2019	P	2 (1)	A	\$ 62.36 (5)	592	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/25/2019	P	2 (1)	A	\$ 62.36 (5)	591	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/25/2019	P	2 (1)	A	\$ 62.36 (5)	591	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/26/2019	P	1 (1)	A	\$ 62.36 (6)	99	I	Spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	03/26/2019	P	2 (1)	A	\$ 62.36 (6)	501	I	Spouse's proportionate interest in

								shares held by Rankin Associates VI
Class A Common Stock	03/26/2019	P	3 (1)	A	\$ 62.36 <u>(6)</u>	595	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/26/2019	P	3 (1)	A	\$ 62.36 (6)	594	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/26/2019	P	3 (1)	A	\$ 62.36 (6)	594	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						712	I	Child's proportionate limited partnership interest in shares held by Rankin Associates II, LP (4)
Class A Common Stock						240	D	
Class A Common Stock						13,997	I	spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P (4)
Class A Common Stock						10,242	I	Held in Trust for benefit of Reporting Person's spouse (4)

## Edgar Filing: Kuipers Jacob A - Form 4

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Class A Common Stock						567	I	limite partn intere	ortionate ed ership est in s held by I LP, se as	
Reminder: Re	eport on a sepa	arate line for each clas	ss of securities benefi	Person informa require	s who res ation cont d to respo s a currer	or indirectly.  spond to the ained in this ond unless to ntly valid OM	s form are i the form		1474 9-02)	
			ntive Securities Acquuts, calls, warrants,				Owned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	712	
Class B Common	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common	240	

Stock

Class B

Stock

Common

<u>(7)</u>

13,997

Stock

Class A

Common

Stock

<u>(7)</u>

<u>(7)</u>

Class B Common Stock	(7)		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	10,072
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	567

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Relationships

Kuipers Jacob A 5875 LANDERBROOK DRIVE, SUITE 300 MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

03/27/2019

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Mar-25 -Block 1 Weighted Average- Share Price represents average price between \$59.99 and \$60.37.
- (3) 2019-Mar-25 -Block 2 Weighted Average- Share Price represents average price between \$60.39 and \$61.385.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) 2019-Mar-25 -Block 3 Weighted Average- Share Price represents average price between \$61.84 and \$62.49.
- (6) 2019-Mar-26-Weighted Average Share Price represents average price between \$61.58 and \$62.49.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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