Beshar Peter J Form 4 March 04, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Beshar Peter J

(Last)

(City)

(First) (Middle)

1166 AVENUE OF THE **AMERICAS** 

NEW YORK, NY 10036

(State)

(Street)

(Zip)

2. Issuer Name and Ticker or Trading

Symbol

MARSH & MCLENNAN COMPANIES, INC. [MMC]

3. Date of Earliest Transaction

(Month/Day/Year) 02/28/2019

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

X\_ Officer (give title Other (specify below)

EVP and General Counsel

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		Tabi	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deficiency Owned								
1.Title of Security	2. Transaction Date (Month/Day/Year)		4. Securities Acquired on(A) or Disposed of (D)			5. Amount of Securities	6. Ownership	7. Nature of Indirect			
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	Form: Direct			
		(Month/Day/Year)	(Instr. 8)  Code V	Amount	(A) or (D)	Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
Common Stock	02/28/2019		M(1)	17,610	A	\$ 0	312,792	D			
Common Stock	02/28/2019		F(1)	8,625	D	\$ 92.49	304,167	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	02/28/2019		M <u>(1)</u>		17,610	(3)	(3)	Common Stock	17,610	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Beshar Peter J 1166 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**EVP** and General Counsel

**Signatures** 

/s/ Tiffany D. Wooley, Attorney-in-Fact

03/04/2019

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Vesting and distribution to reporting person of 17,610 shares underlying restricted stock units of which 8,625 were withheld by Marsh & McLennan Companies to cover applicable taxes. These 17,610 shares underlying restricted stock units relate to 3,271 restricted stock

- (1) units that were granted on February 22, 2016, 9,519 restricted stock units relating to performance stock units that were granted on February 22, 2016 for the performance period 2016-2018, 2,562 restricted stock units that were granted on February 22, 2017 and 2,258 restricted stock units that were granted on February 21, 2018.
- (2) The security converts to Marsh & McLennan Companies common stock on a 1-for-1 basis.
- (3) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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