

RANKIN THOMAS T
Form 4
January 02, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN THOMAS T

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/28/2018

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Class A Common Stock	12/28/2018		P	142 ⁽¹⁾ A \$ 61.3602	152,625	I	Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. Rankin
Class A Common Stock	12/28/2018		P	2 ⁽¹⁾ A \$ 61.09 ⁽²⁾	579	I	Proportionate Interest in shares held by RAV
	12/28/2018		P	36 ⁽¹⁾ A	26,006	I	

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Class A Common Stock					\$ 61.09 <u>(2)</u>			Serves as Trustee of GSTs for the benefit of Matthew Rankin
Class A Common Stock	12/28/2018	P	36 <u>(1)</u>	A	\$ 61.09 <u>(2)</u>	26,006	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	12/28/2018	P	36 <u>(1)</u>	A	\$ 61.09 <u>(2)</u>	26,006	I	Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock	12/28/2018	P	2 <u>(1)</u>	A	\$ 61.63 <u>(3)</u>	581	I	Proportionate Interest in shares held by RAV
Class A Common Stock	12/28/2018	P	36 <u>(1)</u>	A	\$ 61.63 <u>(3)</u>	26,042	I	Serves as Trustee of GSTs for the benefit of Matthew Rankin
Class A Common Stock	12/28/2018	P	36 <u>(1)</u>	A	\$ 61.63 <u>(3)</u>	26,042	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	12/28/2018	P	36 <u>(1)</u>	A	\$ 61.63 <u>(3)</u>	26,042	I	Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock	12/28/2018	P	63 <u>(1)</u>	A	\$ 61.09 <u>(2)</u>	26,105	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	12/28/2018	P	63 <u>(1)</u>	A	\$ 61.09 <u>(2)</u>	26,105	I	Serves as Trustee of GSTs for the benefit of

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Class A Common Stock	12/28/2018	P	63 ⁽¹⁾	A	\$ 61.09 <u>(2)</u>	26,105	I	Matthew Rankin Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock	12/28/2018	P	1 ⁽¹⁾	A	\$ 61.09 <u>(2)</u>	246	I	Reporting person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 ⁽¹⁾	A	\$ 61.09 <u>(2)</u>	533	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	1 ⁽¹⁾	A	\$ 61.63 <u>(3)</u>	84	I	Proportionate interest in shares held by RA6 held by RMI
Class A Common Stock	12/28/2018	P	63 ⁽¹⁾	A	\$ 61.63 <u>(3)</u>	26,168	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	12/28/2018	P	63 ⁽¹⁾	A	\$ 61.63 <u>(3)</u>	26,168	I	Serves as Trustee of GSTs for the benefit of Matthew Rankin
Class A Common Stock	12/28/2018	P	63 ⁽¹⁾	A	\$ 61.63 <u>(3)</u>	26,168	I	Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock	12/28/2018	P	1 ⁽¹⁾	A	\$ 61.63 <u>(3)</u>	247	I	Reporting person's proportionate interest in

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Class A Common Stock	12/28/2018	P	2 ⁽¹⁾	A	\$ 61.63 ⁽³⁾	535	I	shares held in Rankin Associates VI Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	151 ⁽¹⁾	A	\$ 61.9539	152,776	I	Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. Rankin
Class A Common Stock						3,622	I	Held by Spouse ⁽⁴⁾
Class A Common Stock						14,343	I	Spouses proportionate interest in shares held by RA1 ⁽⁴⁾
Class A Common Stock						1,843	I	spouse's proportionate limited partnership interest in shares held by RA II LP ⁽⁴⁾
Class A Common Stock						7	D	
Class A Common Stock						19	I	proportionate general partnership interest in shares of Rankin Associates IV, L.P held by the Trust
Class A Common Stock						25,324	I	proportionate interest in shares held in RA1

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Class A Common Stock	34,849	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	65,824	I	Proportionate LP interest in shares held in RA4
Class A Common Stock	1,975	I	Proportionate interest in shares held in RMI

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price Derivati Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	3,622
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	25,657
Class B Common	(5)					(5)	(5)	Class A Common	1,843

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Stock				Stock			
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889		
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889		
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889		
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	7		
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	31		
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	45,300		
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	34,849		
Class B Common	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common	104,286		

Stock			Stock
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	Class A Common Stock
		<u>(5)</u>	1,975
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	Class A Common Stock
		<u>(5)</u>	145,912

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN THOMAS T
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

01/02/2019

 **Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-28 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.49.
- (3) 2018-Dec-28 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.09.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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