

SEELBACH SCOTT W

Form 4

November 02, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
SEELBACH SCOTT W

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
10/31/2018

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	10/31/2018		P	1	<u>(1)</u>	A	\$ 61.07 <u>(2)</u>	185	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018		P	1	<u>(1)</u>	A	\$ 61.07 <u>(2)</u>	185	I	Proportionate interest in share held by Rankin Associates VI
	10/31/2018		P	1	<u>(1)</u>	A		184	I	

Edgar Filing: SEELBACH SCOTT W - Form 4

Class A Common Stock					\$ 61.07 <u>(2)</u>			Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 61.07 <u>(2)</u>	184	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 61.07 <u>(2)</u>	184	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 61.83 <u>(3)</u>	185	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 61.83 <u>(3)</u>	185	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 61.83 <u>(3)</u>	185	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 61.83 <u>(3)</u>	185	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 62.97 <u>(4)</u>	186	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 62.97 <u>(4)</u>	186	I	Proportionate interest in share held by Rankin Associates VI

Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 62.97 <u>(4)</u>	186	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 62.97 <u>(4)</u>	186	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 62.97 <u>(4)</u>	186	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(5)</u>	187	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(5)</u>	187	I	Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(5)</u>	187	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(5)</u>	187	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(5)</u>	187	I	Child's proportionate interest in shares held by Rankin Associates VI

Class A Common Stock	10,293	I	Spouse's proportionate interests in shares held by Rankin Associates II. (6)
Class A Common Stock	7,326	I	Held in trust fbo Reporting Person's spouse. (6)
Class A Common Stock	566	I	Minor child's trust's proportionate interests in shares held by Rankin Associates II. (6)
Class A Common Stock	563	I	Reporting Person's spouse is co-trustee of a Trust fbo minor child. (6)
Class A Common Stock	722	D	
Class A Common Stock	1,321	I	Reporting Person's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	407	I	Minor child's trust's proportionate interests in shares held by Rankin Associates II. (6)
Class A Common Stock	722	I	Reporting Person's spouse is

Class A Common Stock		648	I	co-trustee of a Trust fbo minor child. (6) Minor child's trust's proportionate interests in shares held by Rankin Associates II. (6)
----------------------------	--	-----	---	---

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(7)					(7)	(7)	Class A Common Stock	10,293
Class B Common Stock	(7)					(7)	(7)	Class A Common Stock	10,756
Class B Common	(7)					(7)	(7)	Class A Common	566

Edgar Filing: SEELBACH SCOTT W - Form 4

Stock

Stock

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

563

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

722

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

1,321

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

407

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

722

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

648

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SEELBACH SCOTT W 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

11/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-31 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.34.
- (3) 2018-Oct-31 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.00.
- (4) 2018-Oct-31 -Block 3 Weighted Average- Share Price represents average price between \$62.85 and \$64.99.
- (5) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (6) Reporting Person disclaims beneficial ownership of all such shares.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.