RANKIN ALISON A

Form 4 October 25, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Number: January 31, Expires: 2005

0.5

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading RANKIN ALISON A Issuer Symbol **HYSTER-YALE MATERIALS** (Check all applicable) HANDLING, INC. [HY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Officer (give title \_\_X\_ Other (specify (Month/Day/Year) below) below) 5875 LANDERBROOK DRIVE 10/23/2018 Member of a Group (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MAYFIELD HEIGHTS, OH 44124 Person

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	e Sec	curities Acqu	ired, Disposed o	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit oner Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/23/2018		P	125 (1)	A	\$ 60.9893	5,492	I	Reporting Person serves as Trustee of a Trust for the benefit of Alison Rankin
Class A Common Stock	10/23/2018		P	2 (1)	A	\$ 60.99 (2)	212	I	Spouse's proportionate interests in shares held by Rankin Associates V

Class A Common Stock	10/23/2018	P	1 (1)	A	\$ 60.99 ( <u>2)</u>	59	I	spouse's interest in shares of Rankin Associates VI held by Rankin Management, Inc. ("RMI")
Class A Common Stock	10/23/2018	P	167 <u>(1)</u>	A	\$ 60.99 (2)	16,930	I	Spouse serves as Trustee of GSTs for the benefit of A. Farnham Rankin
Class A Common Stock	10/23/2018	P	167 <u>(1)</u>	A	\$ 60.99 ( <u>2)</u>	16,930	I	Spouse serves as Trustee of GSTs for the benefit of Elisabeth M. Rankin
Class A Common Stock	10/23/2018	P	3 (1)	A	\$ 60.99 ( <u>2)</u>	172	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/23/2018	P	3 (1)	A	\$ 60.99 ( <u>2)</u>	172	I	Proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/23/2018	P	2 (1)	A	\$ 60.99 (2)	171	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/23/2018	P	2 (1)	A	\$ 60.99 (2)	171	I	Child's proportionate interest in shares held by Rankin Associates VI
						54,147	I	

Class A Common Stock			proportionate limited partnership interest in shares held by Rankin Associates I, L.P. (5)
Class A Common Stock	29,986	I	Reporting Person?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	200	I	Reporting person's spouse serves as Trustee for the benefit of Elisabeth Rankin
Class A Common Stock	765	I	Reporting person serves as co-trustee of trust fbo Elisabeth M. Rankin
Class A Common Stock	20,119	I	Minor child?s trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	1,851	I	Co-Trustee for the benefit of Reporting Person's daughter. (5)
Class A Common Stock	1,209	I	Spouse serves as Trustee for the benefit of A. Farnham Rankin
	17,987	I	

Class A Common Stock			Minor child?s trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	3,738	I	Co-Trustee for the benefit of Reporting Person's daughter. (5)
Class A Common Stock	19	I	Spouse's proportionate general partnership interest shares held by RAIV. (5)
Class A Common Stock	3,448	I	Spouse's proportionate interests in shares held by Rankin Associates I.
Class A Common Stock	4,693	I	spouse's proportionate limited partnership interest in shares held by RA II, L.P (5)
Class A Common Stock	65,824	I	Spouse's proportionate interests in shares held by Rankin Associates IV. (5)
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management,

Class A Common Stock						53	I	sporinte shar Ran Ass held Ran Mar Inc.	ociates V l by	
Class A Common Stock						192,662	2 I	as T a Tı ben Rog	rustee of rust for the efit of the kin (5)	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	(e.g., p  3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5.	6. Date Exer Expiration D (Month/Day/	ate	7. Title and a Underlying S (Instr. 3 and	Securities	8. Price Derivati Security (Instr. 5]
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	96,861	
	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>		29,986	

Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	3,123
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	200
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	20,119
Class B Common Stock	( <u>6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	1,851
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	444
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	17,987
	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>		3,738

Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	31
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,168
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	4,693
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	104,286
Class B Common	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common	1,975

Stock Stock

 Class B
 Class A

 Common 60
 60
 Common 193,760

 Stock
 Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN ALISON A 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

10/25/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-23 -Weighted Average- Share Price represents average price between \$60.73 and \$61.20.
- (3) held in trust u/a/d 8/15/2012
- (4) held in trust u/a/d 12/30/2015
- (5) Reporting Person disclaims beneficial ownership of all such shares.
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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