2013 Trust fbo Evelyn R. Kuipers Form 4

October 23, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

**HYSTER-YALE MATERIALS** 

HANDLING, INC. [HY]

3. Date of Earliest Transaction

(Month/Day/Year)

10/19/2018

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2013 Trust fbo Evelyn R. Kuipers

(Middle)

5875 LANDERBROOK DRIVE, SUITE 300

CLEVELAND, OH 44124

(Last)

(First)

(Street)

Symbol

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

507

I

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Cransaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Class A Common Stock	10/19/2018		Р	3 (1)	A	\$ 60.65 (2)	167	I	Proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/22/2018		P	2 (1)	A	\$ 61.99	169	I	Proportionate interest in shares held in Rankin Associates VI

Class A Common Stock proportionate limited partnership interest in shares held by Rankin Associates II, LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

8. Price of Derivative Security (Instr. 5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	507

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

2013 Trust fbo Evelyn R. Kuipers 5875 LANDERBROOK DRIVE SUITE 300 Member of a Group

Reporting Owners 2

CLEVELAND, OH 44124

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

10/23/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-19 -Weighted Average- Share Price represents average price between \$60.20 and \$61.00.
- (3) 2018-Oct-22 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.46.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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