McDonald Scott Form 4 February 07, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

**AMERICAS** 

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* McDonald Scott

Symbol MARSH & MCLENNAN

2. Issuer Name and Ticker or Trading

COMPANIES, INC. [MMC]

(Last) (First) (Middle)

(Street)

(State)

3. Date of Earliest Transaction (Month/Day/Year) 02/05/2018

1166 AVENUE OF THE

(Zin)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Director 10% Owner X\_ Officer (give title \_ Other (specify

(Check all applicable)

below) President and CEO of OWG

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10036

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	02/05/2018		M(1)	11,200	A	\$0	49,490.5958 (2)	D	
Common Stock	02/05/2018		S <u>(1)</u>	11,200	D	\$ 84.868	38,290.5958	D	
Common Stock	02/05/2018		M(1)	218	A	\$ 0	38,508.5958	D	
Common Stock	02/05/2018		S(1)	218	D	\$ 84.49	38,290.5958	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)			6. Date Exer Expiration D (Month/Day)	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 22.705	02/05/2018		M <u>(1)</u>	11,200	(3)	02/21/2020	Common Stock	11,200
Stock Options (Right to Buy)	\$ 22.705	02/05/2018		M <u>(1)</u>	218	(3)	02/21/2020	Common Stock	218

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McDonald Scott

1166 AVENUE OF THE AMERICAS NEW YORK, NY 10036 President and CEO of OWG

## **Signatures**

/s/ Tiffany D. Wooley, Attorney-in-Fact

02/07/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the Reporting Person.
- (2) Includes dividend reinvestment shares acquired on February 15, 2017, May 15, 2017, August 15, 2017 and November 15, 2017.

**(3)** 

Reporting Owners 2

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These options were granted on February 22, 2010 and vested in four equal annual installments on February 22nd of 2011, 2012, 2013 and 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.