#### AK STEEL HOLDING CORP

Form 3

January 05, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

MD

response...

OMB 3235-0104

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AK STEEL HOLDING CORP [AKS]  **VASQUEZ JAIME** (Month/Day/Year) 01/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 9227 CENTRE POINTE DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person WEST CHESTER, OHÂ 45069 (give title below) (specify below) Form filed by More than One VP Finance and CFO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 10,250 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisab Expiration Date (Month/Day/Year)	ole and	and 3. Title and Amour Securities Underly Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
Date	D ( E . 11	Expiration Date	Title	Amount or Number of	Derivative	Security:	
	Date Exercisable				Security Direct (D)		
						or Indirect	

### Edgar Filing: AK STEEL HOLDING CORP - Form 3

				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	01/21/2016(1)	01/21/2025	Common Stock	9,900	\$ 3.975	D	Â
Performance Shares	12/31/2017	12/31/2017	Common Stock	5,850 (2)	\$ <u>(3)</u>	D	Â
Employee Stock Option (Right to Buy)	10/15/2015 <u>(4)</u>	10/15/2024	Common Stock	2,760	\$ 5.415	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	Director	10% Owner	Officer	Other	
VASQUEZ JAIME	۰	۸	VP	•	
9227 CENTRE POINTE DRIVE	Ã	Ä	Finance	Ä	
WEST CHESTER, OH 45069			and CFO		

## **Signatures**

/s/ Jaime	01/04/2016			
Vasquez	01/04/2010			
**Signature of Reporting Person	Date			

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in three equal annual installments beginning one year after grant date.
- This represents one half of the Performance Shares which were awarded on January 21, 2015 and are required to be reported at this time (2) because they constitute derivative securities. The other half do not constitute derivative securities and therefore are not required to be reported at this time.
  - Each Performance Share represents a contingent right to receive 0 to 1.5 shares of Common Stock. The conversion rate (0, .5, or 1.5)
- (3) ultimately applied to these Performance Shares will be determined based on the compounded annual growth rate ("CAGR") of the Common Stock between January 1, 2015 to December 31, 2017 (the "Performance Period").
- (4) Options vest in three equal annual installments beginning one year after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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