**CARNIVAL PLC** Form 4

January 07, 2008

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

in special voting

1. Name and Address of Reporting Person \* PEREZ ARNALDO

(First) (Middle)

C/O CARNIVAL CORPORATION, 3655 NW 87TH **AVE** 

(Street)

**MIAMI, FL 33178** 

2. Issuer Name and Ticker or Trading Symbol

CARNIVAL PLC [CUK]

3. Date of Earliest Transaction

(Month/Day/Year) 01/03/2008

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

Sr. Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

<b>,</b>						Person			
(City)	(State)	(Zip) Tal	ble I - Non-	-Derivativ	e Sec	urities Acqu	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	any (Month/Day/Year) (			sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)  6. Ownership Form: Direct (D) or Indirect (I) Transaction(s) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
Trust Shares (interest in special voting shares) (1)	01/03/2008		M M	7,318	A	\$ 26.4062	14,915.0747 (3)	D	
Trust Shares (interest	01/03/2008		S	18 (2)	D	\$ 43.08	14,897.0747 (3)	D	

shares) (1)						
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	400 (2) D	\$ 43.09	14,497.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	200 (2) D	\$ 43.18	14,297.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	100 (2) D	\$ 43.19	14,197.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	400 (2) D	\$ 43.21	13,797.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	300 (2) D	\$ 43.28	13,497.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	400 (2) D	\$ 43.31	13,097.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	800 (2) D	\$ 43.33	12,297.0747 (3)	D
Trust Shares (interest in special	01/03/2008	S	400 (2) D	\$ 43.34	11,897.0747 (3)	D

voting shares) (1)						
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	800 (2) D	\$ 43.35	11,097.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	200 (2) D	\$ 43.36	10,897.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	800 (2) D	\$ 43.39	10,097.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	200 (2) D	\$ 43.4	9,897.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	300 (2) D	\$ 43.43	9,597.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	500 (2) D	\$ 43.44	9,097.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	400 (2) D	\$ 43.47	8,697.0747 (3)	D
Trust Shares (interest	01/03/2008	S	300 (2) D	\$ 43.48	8,397.0747 (3)	D

in special voting shares) (1)							
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	400 (2)	D	\$ 43.49	7,997.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/03/2008	S	400 (2)	D	\$ 43.5	7,597.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/07/2008	M	4,376	A	\$ 26.4062	11,973.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/07/2008	S	4,300	D	\$ 41.55	7,673.0747 (3)	D
Trust Shares (interest in special voting shares) (1)	01/07/2008	S	76	D	\$ 41.52	7,597.0747 (3)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount	8. 1
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	of Underlying	De
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	Securities	Sec
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired		(Instr. 3 and 4)	(In
	Derivative				(A) or			
	Security				Disposed of			

(D)	
(Instr. 3	3, 4,
and 5)	

					and 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 26.4062	01/03/2008	M			7,318	01/12/2003	01/12/2008	Trust Shares (interest in special voting shares) (1)	7,318
Stock Option (Right to Buy)	\$ 26.4062	01/07/2008	M			4,376	01/12/2003	01/12/2008	Trust Shares (interest in special voting shares)	4,376

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PEREZ ARNALDO C/O CARNIVAL CORPORATION 3655 NW 87TH AVE MIAMI, FL 33178

Sr. Vice President

# **Signatures**

Arnaldo Perez 01/07/2008

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to

(1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

Reporting Owners 5

- (2) Sales made pursuant to a Rule 10b 5-1 Sales Plan.
- (3) Includes shares acquired pursuant to purchase under, or the dividend reinvestment feature of, the Carnival Corporation Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.