## Edgar Filing: Corvus Pharmaceuticals, Inc. - Form 4

| Corvus Pha<br>Form 4<br>March 24, 2  | armaceuticals, Inc.<br>2016  |  |   |                                    |  |   |  |   |   |  |  |
|--|--|--|---|------------------------------------|--|---|--|---|---|--|--|
| FOR  | ЛА   |  |   |                                    |  |   |  |   | PPROVAL   |  |  |
|  | S SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549<br>F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES |  |   |                                    |  | OMB<br>Number:                            | 3235-0287  | 7   |   |  |  |
| Check t<br>if no lo<br>subject<br>Section<br>Form 4<br>Form 5  |  |  |   |                                    |  | burden hou                                | Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5                                 |   |   |  |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |  |   |                                    |  |   |  |   |   |  |  |
| (Print or Type   | e Responses)   |  |   |                                    |  |   |  |   |   |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Thompson Peter A.  |  |  | 2. Issuer Name and Ticker or Trading5. RelationSymbolIssuerCorvus Pharmaceuticals, Inc.[CRVS] |                                    |  |   | •  | nship of Reporting Person(s) to   |   |  |  |
|  |  |  |   |                                    |  |   | (Check all applicable)   |   |   |  |  |
|  |  |  |   | of Earliest T<br>Day/Year)<br>2016 | Fransaction  |   | X Director<br>Officer (give<br>below)  | e title $\underline{X}_{10}$ Other below)   |   |  |  |
| (Street)   |  |  | Filed(Month/Day/Year) Appli<br>_X_1   |                                    |  |   | Applicable Line)<br>_X_ Form filed by  | dividual or Joint/Group Filing(Check<br>icable Line)<br>Form filed by One Reporting Person<br>Form filed by More than One Reporting |   |  |  |
| BURLING  | GAME, CA 94010   |  |   |                                    |  |   | Person   | More than One K   | eporting  |  |  |
| (City)   | (State)  | (Zip)                                    | Tal   | ole I - Non-                       | Derivative   | Securities                                | Acquired, Disposed o   | of, or Beneficia  | lly Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)  | 2A. Deem<br>Execution<br>any<br>(Month/D | Date, if  | Code<br>(Instr. 8)                 | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, 4   | (A) or<br>of (D)<br>4 and 5)<br>(A)<br>or | Securities I<br>Beneficially (<br>Owned (<br>Following (<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Reminder: R  | eport on a separate lin  | e for each o                             | lass of sec   |                                    | Amount   | (D) Price                                 | 2  |   |   |  |  |
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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)   | ive Conversion (Month/Day/Year)<br>y or Exercise |            | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|--|------------|---|--|--|-----|--|--------------------|---|-------------------------------------|
|   |  |            |   | Code V                                 | (A)  | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy)  | \$ 15  | 03/22/2016 |   | A                                      | 30,000   |     | <u>(1)</u>   | 03/22/2026         | Common<br>Stock   | 30,000                              |
| Reporting Owners  |  |            |   |  |  |     |  |                    |   |                                     |
| <b>Reporting Owner Name / Address</b><br>Di   |  |            | Director  | <b>Relat</b><br>10% Ow                 | <b>ionships</b><br>/ner Office   | er  | Other  |                    |   |                                     |
| Thompson Peter A.<br>C/O CORVUS PHARMACEUTICALS, INC.<br>863 MITTEN ROAD, SUITE 102<br>BURLINGAME, CA 94010 |  |            | S, INC. X   | Х                                      |  |     |  |                    |   |                                     |
| Signa   | tures  |            |   |  |  |     |  |                    |   |                                     |
| /s/ Leiv Lea, as Attorney-in-Fact for Peter A.<br>Thompson  |  |            | eter A.   | 03/                                    | 24/2016  |     |  |                    |   |                                     |

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\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The underlying shares subject to the option vest and become exercisable as to one-third (1/3rd) of the shares subject to the option on each (1) annual anniversary measured from March 22, 2016, subject to the Reporting Person's continued service relationship with the Issuer on each such vesting date.

Date

The Reporting Person is an employee of OrbiMed Advisors LLC ("Advisors") and is obligated to transfer any shares issued pursuant to any equity grants made to him by the Issuer, or the economic benefits thereof, to Advisors and certain of its related entities. As such, the Reporting Person disclaims beneficial ownership of the securities reported herein for purposes of Rule 16a-1(a) under the Securities

(2) Reporting reison discrains beneficial ownership of the securities reported nerein for purposes of Rule Toart(a) under the securities Exchange Act of 1934, as amended (the "Exchange Act"), except to the extent of his pecuniary interest therein, if any. This report shall not be deemed an admission that the Reporting Person is a beneficial owner for the purpose of Section 16 of the Exchange Act, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.