## Edgar Filing: Forcier Jason - Form 4

Forcier Jaso	n										
Form 4											
November 2	21, 2012										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										B APPROVAL	
Washington, D.C. 20549								OMMISSION	OMB Number:	3235-0287	
Check th if no lon							Expires:	January 31, 2005			
subject t		ES IN BENEFICIAL OWNERSHIP OF					verage				
Section	SECURITIES					burden hour response	's per				
Form 4 o Form 5		nurcuant to	Section 1	6(a) of th	file Constitution Frankrish Anto 61024					0.5	
obligatio	abligations										
may continue. 20(h) of the Investment Company Act of 1955 of Section											
<i>See</i> Instruction 30(n) of the Investment Company Act of 1940 1(b).											
(Print or Type)	Responses)										
1. Name and Address of Reporting Person <sup>*</sup> 2. Is				r Name <b>and</b>	I Ticker o	r Tradi	ing	f Reporting Person(s) to			
Forcier Jason			2. Issuer Name <b>and</b> Ticker or Trading Symbol					Issuer			
	A123 S	YSTEMS	5, INC. [	AON	IE]	(Check all applicable)					
(Last)	(First)	(Middle)	3. Date o	f Earliest Ti	ransaction			(Cneck	k all applicable)		
				(Month/Day/Year)				Director 10% Owner			
				9/2012				XOfficer (give title Other (specify below) below)			
WEST STR					· · · · · · · · · · · · · · · · · · ·	Auto Solutions					
	4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check					
Filed(M				onth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
WALTHAM, MA 02451											
WALIIIAI	WI, WIA 02431							Person			
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secu	rities Acq	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of	2. Transaction D							5. Amount of	6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Yea		n Date, if	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				Securities Beneficially	Ownership Indirect Form: Direct Benefic	Indirect Beneficial	
(IIISU. <i>5)</i>		any (Month/I	Day/Year)				5)	Owned		Ownership	
								Following	Indirect (I)	(Instr. 4)	
						(A)		Reported Transaction(s)	(Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common	11/10/2012						\$	2(9, 471, (2))	D		
Stock	11/19/2012			S <u>(1)</u>	743	D	0.0886	268,471 <u>(2)</u>	D		
Common				<b>a</b> (1)		-	<b>\$</b> 0.00	<b>9</b> ( ( ) <b>1</b>	-		
Stock	11/20/2012			S <u>(1)</u>	1,550	D	\$ 0.09	266,921 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Forcier Jason C/O A123 SYSTEMS, INC. 200 WEST STREET WALTHAM, MA 02451			VP, Auto Solutions					
Signatures								
/s/ Eric J. Pyenson, Attorney-in Forcier	-Fact for	Jason	11/21/2012					

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.
- (2) Includes 254,559 restricted stock units ("RSUs"). Upon vesting thereof, the Reporting Person is entitled to receive one (1) share of common stock for each one (1) RSU.
- (3) Includes 253,009 restricted stock units ("RSUs"). Upon vesting thereof, the Reporting Person is entitled to receive one (1) share of common stock for each one (1) RSU.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.