#### Edgar Filing: INFINERA CORP - Form 3

**INFINERA CORP** Form 3 June 06, 2007

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Chandler Scott A

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

06/06/2007

INFINERA CORP [INFN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O INFINERA

CORPORATION, Â 169 JAVA

DRIVE

(Street)

10% Owner Director Other

(Check all applicable)

\_X\_\_ Officer (give title below) (specify below) VP, Worldwide Sales

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

SUNNYVALE, CAÂ 94089

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

**Table I - Non-Derivative Securities Beneficially Owned** 

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4. Conversion or Exercise

6. Nature of Indirect Ownership Beneficial

(Instr. 4)

Title

Amount or Number of Price of Derivative Derivative Security: Security

5.

Ownership (Instr. 5)

Expiration Exercisable Date

2. Date Exercisable and

Form of

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				Shares		or Indirect (I) (Instr. 5)	
Employee stock option (right to buy)	(1)	05/16/2013	Common Stock	33,750	\$ 1.84	D	Â
Employee stock option (right to buy)	(2)	07/06/2014	Common Stock	15,000	\$ 2.24	D	Â
Employee stock option (right to buy)	(3)	02/02/2015	Common Stock	17,500	\$ 0.76	D	Â
Employee stock option (right to buy)	(4)	11/28/2015	Common Stock	66,250	\$ 1.32	D	Â
Non-qualified stock option (right to buy)	(5)	08/08/2016	Common Stock	12,500	\$ 2	D	Â
Employee stock option (right to buy)	(5)	08/08/2016	Common Stock	50,000	\$ 2	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Transfer and Control of the Control	Director	10% Owner	Officer	Other	
Chandler Scott A C/O INFINERA CORPORATION 169 JAVA DRIVE SUNNYVALE, CA 94089	Â	Â	VP, Worldwide Sales	Â	

# **Signatures**

/s/ Scott A. Chandler	06/06/200		
**Signature of Reporting Person	Date		

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is subject to an early exercise provision and is immediately exercisable. One-fourth of the shares subject to the option vested on May 15, 2004 and one forty-eighth of the shares shall vest monthly thereafter.
- (2) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on June 27, 2004 and one forty-eighth of the shares shall vest monthly thereafter.
- (3) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on December 18, 2004 and one forty-eighth of the shares shall vest monthly thereafter.
- The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on August 26, 2005 and one forty-eighth of the shares shall vest monthly thereafter.
- (5) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on July 29, 2006 and one forty-eighth of the shares shall vest monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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