#### CITY OF LONDON INVESTMENT GROUP PLC

Form 4

March 14, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

**GROUP PLC** 

(Last)

(City)

1. Name and Address of Reporting Person \*

CITY OF LONDON INVESTMENT

(First)

(Street)

(State)

77 GRACECHURCH STREET

(Middle)

(Zip)

(Print or Type Responses)

2. Issuer Name and Ticker or Trading Symbol

MORGAN STANLEY INDIA INVESTMENT FUND, INC. [IIF]

3. Date of Earliest Transaction (Month/Day/Year)

03/12/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director X\_\_ 10% Owner \_ Other (specify Officer (give title below)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### LONDON, X0 EC3V0AS

							• /	-	<b>,</b>	•
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securiti	ies Acq	juired	5. Am	ount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Dis	posed	of (D)	Securi	ties	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4	and 5)	)	Benefi	icially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owne	d	Direct (D)	Ownership
							Follov	ving	or Indirect	(Instr. 4)
					(4)		Repor	ted	(I)	
					(A)		Transa	action(s)	(Instr. 4)	
			C + V		or	ъ.	(Instr.	3 and 4)		
			Code V	Amount	(D)	Price				
										Λ α

	Code V	Amount	(D)	Price	(moure une i)	
Common Stock, par value \$.01 per share (1)					377,731 <u>(12)</u> I	As investment adviser to a private investment fund (13)
Common						As investment

ınvestment Stock, par adviser to a value 112,372 (12) I private \$.01 per investment share (2) fund (13)

Common Stock, par value \$.01 per share (3)	309,814 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (4)	240,165 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (5)	234,879 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (6)	176,790 (12)	I	As investment adviser to a Dublin, Ireland-listed open-ended investment company (13)
Common Stock, par value \$.01 per share (7)	214,662 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (8)	347,411 <u>(12)</u>	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (9)	61,941 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value	28,263 (12)	I	As investment adviser to a

\$.01 per share (10)									private investment fund (13)
Common Stock, par value \$.01 per share (11)	03/12/2018	03/12/2018	S	32,044	D	\$ 31.38	1,436,323 (12)	I	As investment adviser to unaffiliated third-party segregated accounts (13)
Common Stock, par value \$.01 per share (11)	03/13/2018	03/13/2018	S	10,000	D	\$ 31.3	1,426,323 (12)	I	As investment adviser to unaffiliated third-party segregated accounts (13)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Da	ate	Amou	ınt of	Derivative	J
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
		Derivative				Securities			(Instr.	3 and 4)		(
		Security				Acquired						]
						(A) or						J
						Disposed						-
						of (D)						(
						(Instr. 3,						
						4, and 5)						
										A 4		
										Amount		
							Date	Expiration	T:41-	or Namelana		
							Exercisable Date		Title	Number		
					C 1 W	(A) (D)				of		
					Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r	Director	10% Owner	Officer	Other			
CITY OF LONDON INVESTMENT GROUP PLC		X					
77 GRACECHURCH STREET							

Reporting Owners 3

LONDON, X0 EC3V0AS

CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON, X0 EC3V0AS

## **Signatures**

/s/ Barry M. Olliff, Director - City of London Group PLC

03/14/2018

\*\*Signature of Reporting Person

Date

X

/s/ Barry M. Olliff, Director - City of London Investment Management Company Limited

03/14/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned by 12 unaffiliated third-party segregated accounts.
- (12) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.
- Each of City of London Group PLC ("CLIG") and City of London Investment Management Company Limited ("CLIM"), which is controlled by CLIG and is the investment adviser to the funds listed above and the 12 unaffiliated third-party segregated accounts, hereby disclaims beneficial ownership of the reported securities and this report should not be deemed an admission of beneficial ownership of such securities for purposes of Section 16 or for any other purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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