#### Edgar Filing: NATIONAL HEALTHCARE CORP - Form 4

NATIONAL HEALTHCARE CORP Form 4 November 04, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DANIEL DONALD K Issuer Symbol NATIONAL HEALTHCARE CORP (Check all applicable) [NHC] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 100 VINE ST., SUITE 1200 11/02/2015 Sr. Vice President, Controller (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MURFREESBORO, TN 37130 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) any Code Disposed of (D) Beneficially Form: Direct Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Shares of С Common 11/02/2015 242 265,581 (1) А \$0 Ι Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Stock

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)        | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |                     |                    | 7. Title and Amoun<br>Underlying Securiti<br>(Instr. 3 and 4) |                            |
|--|---|---|---|--|---|---------------------|--------------------|---|----------------------------|
|  |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amo<br>or<br>Numl<br>of Sh |
| Option to<br>purchase<br>Common<br>Stock                   | \$ 46.69  |   |   |  |   | 12/04/2012          | 02/29/2016         | Common<br>Stock   | 39,8                       |
| Shares of<br>Series A<br>Convertible<br>Preferred<br>Stock | (2)   | 11/02/2015                              |   | С                                      | 1,000 <u>(3)</u>  | 11/01/2007          | 11/03/2015         | Common<br>Stock   | 24                         |
| Shares of<br>Series A<br>Convertible<br>Preferred<br>Stock | (2)   | 11/03/2015                              |   | J                                      | 140,073<br>( <u>4)</u>  | 11/01/2007          | 11/03/2015         | Common<br>Stock   | 33,9                       |

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### **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                                 | Relationships |           |                                |       |  |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer                        | Other |  |  |  |
| DANIEL DONALD K<br>100 VINE ST., SUITE 1200<br>MURFREESBORO, TN 37130 |               |           | Sr. Vice President, Controller |       |  |  |  |

## **Signatures**

/s/ Donald K. 11/04/2015 Daniel \*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Form 5 filed 2/9/2015 should have reported 244,339 shares of common stock. The difference of 27 shares is added to this line's total.
- (2) Convertible anytime at a ratio of 0.24204 common shares per 1.0 preferred share.
- The Filer converted 1,000 shares of Series A Convertible Preferred into Common Stock at the conversion rate of 0.24204 common shares (3) per preferred share.

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(4) The reported securities were called for redemption by the issuer at a price equal to \$15.79 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.