**MAXIMUS INC** Form 4 June 17, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

**OMB APPROVAL** 

**OMB** Number:

3235-0287

2005

January 31, Expires:

Estimated average burden hours per

response... 0.5

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Caswell Bruce

(Middle)

2. Issuer Name and Ticker or Trading Symbol

MAXIMUS INC [MMS]

3. Date of Earliest Transaction (Month/Day/Year)

06/15/2015

C/O MAXIMUS, INC. ATTN: TREASURY DEPT., 1891 METRO **CENTER DRIVE** 

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

RESTON, VA 20190-5207

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)			ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1)	06/15/2015		Code V M	Amount 7,000		Price \$ 8.682	(Instr. 3 and 4) 39,188.587	D	
Common Stock	06/15/2015		S	7,000	D	\$ 62.31 (2)	32,188.587	D	
Common Stock (1)	06/16/2015		M	7,000	A	\$ 8.682	39,188.587	D	
Common Stock	06/16/2015		S	7,000	D	\$ 62.61 (3)	32,188.587	D	

#### Edgar Filing: MAXIMUS INC - Form 4

Common Stock (1)	06/17/2015	M	6,000	A	\$ 8.682	38,188.587	D
Common Stock	06/17/2015	S	6,000	D	\$ 64.27 (4)	32,188.587	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number action of Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivation Security (Instr.
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock (1)	\$ 8.682	06/15/2015	M		7,000	(5)	(5)	Common Stock	7,000	\$
Common Stock (1)	\$ 8.682	06/16/2015	M		7,000	(5)	(5)	Common Stock	7,000	\$
Common Stock (1)	\$ 8.682	06/17/2015	M		6,000	(5)	(5)	Common Stock	6,000	\$

### **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Caswell Bruce

C/O MAXIMUS,INC. ATTN: TREASURY DEPT.

1891 METRO CENTER DRIVE

RESTON, VA 20190-5207

President

2 Reporting Owners

Edgar Filing: MAXIMUS INC - Form 4

#### **Signatures**

David R. Francis: As Attorney-In-Fact for: Bruce
Caswell

06/17/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 17, 2015.
- Weighted average sales price for prices ranging from \$61.92 to \$62.58. The reporting person will provide full information regarding the number of shares purchased or sold at each separate price upon request by the Commission staff, the issuer, or a security holder of the issuer.
- Weighted average sales price for prices ranging from \$62.33 to \$62.91. The reporting person will provide full information regarding the number of shares purchased or sold at each separate price upon request by the Commission staff, the issuer, or a security holder of the issuer.
- Weighted average sales price for prices ranging from \$63.90 to \$64.40. The reporting person will provide full information regarding the number of shares purchased or sold at each separate price upon request by the Commission staff, the issuer, or a security holder of the issuer.
- (5) These options were part of an award made on 10/18/2005 which vested on 10/18/2006, 10/18/2007, 10/18/2008, 10/18/2009 and 10/18/2010. The options were scheduled to expire on 10/18/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3