

Wozniak Kurt F  
Form 4  
June 04, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Wozniak Kurt F

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
205 CROSSPOINT PARKWAY  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/31/2018

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
VP - Industrial Products

GETZVILLE, NY 14068

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |
| Common Stock                    | 05/31/2018                           |  | M                              | V   | 7,464   | \$ 27.12   | 35,244.2584 D   |
| Common Stock                    | 05/31/2018                           |  | S                              |   | 5,042   | \$ 41.32   | 30,202.2584 D   |
| Common Stock                    | 05/31/2018                           |  | S                              |   | 2,422   | \$ 41.17   | 27,780.2584 D   |
| Common Stock                    | 05/31/2018                           |  | M                              |   | 7,500   | \$ 24.94   | 35,280.2584 D   |
| Common Stock                    | 05/31/2018                           |  | S                              |   | 7,500   | \$ 41.17   | 27,780.2584 D   |

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|              |            |  |   |       |   |                     |             |   |
|--------------|------------|--|---|-------|---|---------------------|-------------|---|
| Common Stock | 05/31/2018 |  | M | 8,978 | A | \$ 15.16            | 36,758.2584 | D |
| Common Stock | 05/31/2018 |  | S | 8,978 | D | \$ 41.17 <u>(1)</u> | 27,780.2584 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable      Expiration Date                    | Title   |                               |
| Non-Qualified Stock Options (Right to Buy) | \$ 27.12   | 05/31/2018                           |  | M                              | 7,464   | 05/19/2015      05/19/2024                               | Common Stock  | 7,464                         |
| Non-Qualified Stock Options (Right to Buy) | \$ 24.94   | 05/31/2018                           |  | M                              | 7,500   | 05/18/2016      05/17/2025                               | Common Stock  | 7,500                         |
| Non-Qualified Stock Options (Right to Buy) | \$ 15.16   | 05/31/2018                           |  | M                              | 8,978   | 05/23/2017      05/22/2026                               | Common Stock  | 8,978                         |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| Wozniak Kurt F<br>205 CROSSPOINT PARKWAY<br>GETZVILLE, NY 14068 |               |           | VP -<br>Industrial<br>Products |       |

## Signatures

Kurt F. Wozniak

06/04/2018

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 9,297.2584 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 887.8691 shares become fully vested and non-forfeitable on 5/18/2019; 2,906.2982 shares become fully vested and non-forfeitable 50% per year for two years

(1) beginning 5/23/2019; 2,718.0911 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/22/2019; and the remaining 2,785 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2019, if reporting person remains an employee of issuer.

(2) Exercisable on 5/18/2019.

(3) Exercisable 50% per year for two years beginning on 5/23/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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