

COLUMBUS MCKINNON CORP
Form 4
May 22, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TEVENS TIMOTHY T

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
05/20/2015

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AMHERST, NY 14228-1197

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					314,303.6066	D	
Common Stock	05/20/2015		F		1,972.4448 (1)	D	\$ 24.67 312,331.1618
Common Stock	05/21/2015		F		3,165.9913 (2)	D	\$ 24.88 309,165.1705
Common Stock	05/21/2015		F		10,318 (3)	D	\$ 24.88 298,847.1705 (4)
Common Stock					6,223.6579 (5)	D	

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Common Stock 7,000 I By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018	Common Stock 8,770
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010 05/17/2019	Common Stock 45,172
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020	Common Stock 33,190
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012 05/22/2021	Common Stock 31,902
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013 05/20/2022	Common Stock 53,568
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014 05/20/2023	Common Stock 44,689
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015 05/19/2024	Common Stock 38,938

Non-Qualified

Stock Options \$ 24.94

(Right to Buy)

05/18/2016 05/17/2025

Common
Stock 48,847

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO	

Signatures

Mary C. O'Connor, Power of Attorney for Timothy T.
Tevens

05/22/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withhold obligation upon vesting 5,336.4448 restricted stock units on 5/20/2015.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 6,727.9913 restricted stock units on 5/21/2015.
- (3) Represents shares withheld to satisfy tax withhold obligation upon vesting 27,911 restricted stock units on 5/21/2015.
- (4) Includes 62,931.1705 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 4,257.2657 shares become fully vested and non-forfeitable on 5/23/2015, 6,728.999 shares become fully vested and non-forfeitable on 5/21/2016, 10,672.8896 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/20/2016, 11,596.0162 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016, 12,710 shares become fully vested and non-forfeitable on 5/20/2016, and the remaining 16,966 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (6) All exercisable, pursuant to IRS limitations.
- (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years, beginning 5/21/2013, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- (10) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (11) Exercisable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.