

COLUMBUS MCKINNON CORP
Form 4
May 20, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TEVENS TIMOTHY T

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

3. Date of Earliest Transaction
(Month/Day/Year)
05/18/2015

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

(Street)
AMHERST, NY 14228-1197

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock					301,766.2746	D	
Common Stock	05/18/2015		A		\$ 16,966 ⁽¹⁾	A	
Common Stock	05/19/2015		F		1,428.668 ⁽²⁾	D	
Common Stock					6,223.6579 ⁽⁴⁾	D	
Common Stock					7,000	I	By spouse

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018	Common Stock	8,
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010 05/17/2019	Common Stock	45
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020	Common Stock	33
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012 05/22/2021	Common Stock	31
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013 05/20/2022	Common Stock	53
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014 05/20/2023	Common Stock	44
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015 05/19/2024	Common Stock	38
Non-Qualified Stock Options (Right to Buy)	\$ 24.94	05/18/2015		A	48,847	05/18/2016 05/17/2025	Common Stock	48

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO	

Signatures

Mary C. O'Connor, Power of Attorney for Timothy T. Tevens 05/20/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 3,864.6680 restricted stock unit on 5/19/2015. Includes 102,906.6066 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 4,257.2657 shares become fully vested and non-forfeitable on 5/23/2015, 13,456.9903 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 16,009.3344 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 27,911 shares become fully vested and non-forfeitable on 5/21/2015, 11,596.0162 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016; 12,710 shares become fully vested and non-forfeitable on 5/20/2016, and the remaining 16,966 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) All exercisable, pursuant to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years, beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (10) Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.