Edgar Filing: MCGILL FREDERIC R - Form 5

MCGILL FREDERIC R Form 5 February 14, 2006 FORM 5

Check this box if

no longer subject

to Section 16.

5 obligations

may continue. See Instruction

1(b).

Reported

Form 4 Transactions Reported

(Last)

Form 4 or Form

OMB APPROVAL OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 January 31, Expires: 2005 Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL burden hours per **OWNERSHIP OF SECURITIES** 1.0 response... Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Symbol **BROADWAY FINANCIAL CORP** (Check all applicable) \DE\ [BYFC]

(Middle) 3. Statement for Issuer's Fiscal Year Ended _X_ Director Officer (give title (Month/Day/Year) below) 12/31/2005 **7021 GREENLEAF AVENUE**

> 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year)

WHITTIER, CAÂ 90602

1. Name and Address of Reporting Person *

(First)

(Street)

MCGILL FREDERIC R

X Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(check applicable line)

10% Owner

below)

Other (specify

(City)	(State) (Zip) Table	e I - Non-Deri	vative Sec	uritie	s Acqui	ired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquirec Disposed (Instr. 3, Amount	l (A) o l of (D 4 and (A) or)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	160	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless (9-02)the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. F Der Sec (Ins
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Grant	\$ 0	Â	Â	Â	Â	(1)	07/21/2014	Common Stock	644	
Stock Option	\$ 11.99	Â	Â	Â	Â	(2)	07/21/2014	Common Stock	1,784	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MCGILL FREDERIC R 7021 GREENLEAF AVENUE WHITTIER, CA 90602	ÂX	Â	Â	Â		
Signatures						

iynatui

Frederic R. McGill	02/14/2006			
<u>**</u> Signature of Reporting Person	Date			

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 161 grants are exercisable on each of the following dates: 07/21/06, 07/21/07, 07/21/08, 07/21/09 (1)
- 356 stock options are currently exercisable 357 stock options are available for exercise on each of the following dates: 07/21/06, (2) 07/21/07, 07/21/08, 07/21/09

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.