

ESSEX PROPERTY TRUST INC

Form EFFECT

February 14, 2014

820318-96-000020.txt">Trust Under the II-VI Incorporated Deferred Compensation Plan*

Incorporated herein by reference is Exhibit 10.13 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 1996.

85

- 10.26 II-VI Incorporated 2009 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit A to II-VI's Definitive Proxy Statement on Schedule 14A (File No. 000-16195) filed on September 25, 2009.
- 10.27 Form of Nonqualified Stock Option Agreement under the II-VI Incorporated 2009 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.27 to II-VI's Current Report on Form 10-Q (File No. 000-16195) for the quarter ended December 31, 2011.
- 10.28 Form of Restricted Share Award Agreement under the II-VI Incorporated 2009 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.28 to II-VI's Current Report on Form 10-Q (File No. 000-16195) for the quarter ended December 31, 2011.
- 10.29 Form of Performance Share Award Agreement under the II-VI Incorporated 2009 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.29 to II-VI's Current Report on Form 10-Q (File No. 000-16195) for the quarter ended December 31, 2011.
- 10.30 Form of Stock Appreciation Rights Agreement under the II-VI Incorporated 2009 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.30 to II-VI's Current Report on Form 10-Q (File No. 000-16195) for the quarter ended December 31, 2011.
- 10.31 Form of Performance Unit Award Agreement under the II-VI Incorporated 2009 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.31 to II-VI's Current Report on Form 10-Q (File No. 000-16195) for the quarter ended March 31, 2012.
- 10.32 Form of Restricted Share Unit Award Agreement under the II-VI Incorporated 2009 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.32 to II-VI's Current Report on Form 10-Q (File No. 000-16195) for the quarter ended March 31, 2012.
- 10.33 II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.01 to II-VI's Registration Statement on Form S-8 (File No. 333-199855) filed on November 4, 2014.

10.34

Edgar Filing: ESSEX PROPERTY TRUST INC - Form EFFECT

- Form of Nonqualified Stock Option Agreement under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.30 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2013.
- 10.35 Form of Restricted Share Award Agreement under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.31 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2013.
- 10.36 Form of Performance Share Award Agreement (Consolidated Revenue) under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.32 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2013.
- 10.37 Form of Stock Appreciation Rights Agreement under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.33 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2013.
- 10.38 Form of Performance Unit Award Agreement under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.34 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2013.

- 10.39 Form of Restricted Share Unit Award Agreement under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.35 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2013.
- 10.40 Form of Performance Share Award Agreement (Total Shareholder Return) under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.38 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2014.
- 10.41 Form of Performance Unit Award Agreement (Total Shareholder Return) under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.39 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2014.
- 10.42 Form of Performance Share Award Agreement (Cash Flow From Operations) under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.36 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2015.
- 10.43 Form of Performance Unit Award Agreement (Cash Flow From Operations) under the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.37 to II-VI's Annual Report on Form 10-K (File No. 000-16195) for the fiscal year ended June 30, 2015.
- 10.44 II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.1 to II-VI's Current Report on Form 10-Q (File No. 000-16195) for the quarter ended December 31, 2015.
- 10.45 Form of Nonqualified Stock Option Agreement under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.03 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016.
- 10.46 Form of Stock Appreciation Rights Agreement under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.04 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016.

10.47

Edgar Filing: ESSEX PROPERTY TRUST INC - Form EFFECT

- Form of Restricted Share Award Agreement (3 year) under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.05 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016.
- 10.48 Form of Restricted Share Award Agreement (1 year) under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.06 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016.
- 10.49 Form of Restricted Share Unit Award Agreement under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.07 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016.
- 10.50 Form of Performance Share Award Agreement under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.08 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016.
- 10.51 Form of Performance Unit Award Agreement under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan* Incorporated herein by reference to Exhibit 10.09 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016.

Edgar Filing: ESSEX PROPERTY TRUST INC - Form EFFECT

- | | | |
|-------|--|---|
| 10.52 | <u>Form of Performance Share Award Agreement (June 30, 2019) under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan*</u> | Incorporated herein by reference to Exhibit 10.10 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016. |
| 10.53 | <u>Form of Total Shareholder Return Performance Share Award Agreement under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan*</u> | Incorporated herein by reference to Exhibit 10.11 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016. |
| 10.54 | <u>Form of Total Shareholder Return Performance Unit Award Agreement under the II-VI Incorporated Second Amended and Restated Omnibus Incentive Plan*</u> | Incorporated herein by reference to Exhibit 10.12 to II-VI's Quarterly Report on Form 10-Q (File No. 000-16195) for the quarter ended September 30, 2016. |
| 21.01 | <u>List of Subsidiaries of II-VI Incorporated</u> | Filed herewith. |
| 23.01 | <u>Consent of Ernst & Young LLP</u> | Filed herewith. |
| 31.01 | <u>Certification of the Chief Executive Officer pursuant to Rule 13a-14(a) of the Securities Exchange Act of 1934, as amended, and Section 302 of the Sarbanes-Oxley Act of 2002</u> | Filed herewith. |
| 31.02 | <u>Certification of the Chief Financial Officer pursuant to Rule 13a-14(a) of the Securities Exchange Act of 1934, as amended, and Section 302 of the Sarbanes-Oxley Act of 2002</u> | Filed herewith. |
| 32.01 | <u>Certification of the Chief Executive Officer pursuant to Rule 13a-14(b) of the Securities Exchange Act of 1934, as amended, and 18 U.S.C. § 1350 as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002</u> | Furnished herewith. |
| 32.02 | <u>Certification of the Chief Financial Officer pursuant to Rule 13a-14(b) of the Securities Exchange Act of 1934, as amended, and 18 U.S.C. § 1350 as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002</u> | Furnished herewith. |

Edgar Filing: ESSEX PROPERTY TRUST INC - Form EFFECT

101 Interactive Data File

(101.INS) XBRL Instance Document Filed herewith.

(101.SCH) XBRL Taxonomy Extension Schema Document Filed herewith.

(101.CAL) XBRL Taxonomy Extension Calculation Linkbase Document Filed herewith.

(101.DEF) XBRL Taxonomy Definition Linkbase Filed herewith.

(101.LAB) XBRL Taxonomy Extension Label Linkbase Document Filed herewith.

(101.PRE) XBRL Taxonomy Extension Presentation Linkbase Document Filed herewith.

*Denotes management contract or compensatory plan, contract or arrangement.

(P)Denotes filed via paper copy.

The Registrant will furnish to the Commission upon request copies of any instruments not filed herewith which authorize the issuance of long-term obligations of the Registrant not in excess of 10% of the Registrant's total assets on a consolidated basis.

Item 16.FORM 10-K SUMMARY

None.

88

SIGNATURES

Pursuant to the requirements of Section 13 or 15(d) of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

II-VI INCORPORATED

Date: August 28, 2018 By: /s/ Vincent D. Mattera Jr.
Vincent D. Mattera Jr.
President and Chief Executive Officer

Pursuant to the requirements of the Securities Exchange Act of 1934, this report has been signed below by the following persons on behalf of the registrant and in the capacities and on the dates indicated.

Principal Executive Officer:

Date: August 28, 2018 By: /s/ Vincent D. Mattera Jr.
Vincent D. Mattera Jr.
President and Chief Executive Officer

Principal Financial and Accounting Officer:

Date: August 28, 2018 By: /s/ Mary Jane Raymond
Mary Jane Raymond
Chief Financial Officer and Treasurer

Date: August 28, 2018 By: /s/ Francis J. Kramer
Francis J. Kramer
Chairman of the Board and Director

Date: August 28, 2018 By: /s/ Joseph J. Corasanti
Joseph J. Corasanti
Director

Date: August 28, 2018 By: /s/ RADM Marc Y. E. Pelaez (retired)
RADM Marc Y. E. Pelaez (retired)
Director

Date: August 28, 2018 By: /s/ Howard H. Xia
Howard H. Xia
Director

Date: August 28, 2018 By: /s/ William Schromm
William Schromm
Director

Edgar Filing: ESSEX PROPERTY TRUST INC - Form EFFECT

Date: August 28, 2018 By: /s/ Shaker Sadasivam
Shaker Sadasivam
Director

Date: August 28, 2018 By: /s/ Enrico Digirolamo
Enrico Digirolamo
Director