Edgar Filing: ARCH COAL INC - Form 8-K

ARCH COAL INC Form 8-K October 19, 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

FORM 8-K CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): October 19, 2007 (October 19, 2007)

Arch Coal, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of

incorporation)

1-13105

43-0921172

(Commission File Number)

 $(I.R.S.\ Employer\ Identification$

No.)

CityPlace One

One CityPlace Drive, Suite 300

St. Louis, Missouri 63141

(Address, including zip code, of principal executive offices)

Registrant s telephone number, including area code: (314) 994-2700

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 2.02 Results of Operations and Financial Condition.

On October 19, 2007, Arch Coal, Inc. issued a press release containing its third quarter 2007 financial results and providing updated guidance on its 2007 forecasted results. A copy of the press release is attached hereto as exhibit 99.1.

The information contained in Item 2.02 and the exhibit attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act), or otherwise subject to the liabilities of that section, nor shall they be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

The following exhibit is attached hereto and filed herewith.

Exhibit No.		Description
99.1	Press release dated October 19, 2007.	1

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Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: October 19, 2007 Arch Coal, Inc.

By: /s/ Robert G. Jones Robert G. Jones

Vice President -- Law, General Counsel and

Secretary

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Exhibit Index

Exhibit

No. Description

99.1 Press release dated October 19, 2007.

>F 1,543 D \$ 84.47 16,324 D WESCO International Common Stock02/26/2014 S 3,321 D \$ 84.5105 (1) 13,003 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 69	02/25/2014		M	7,50	(2)	07/01/2016	Common Stock	7,500
Stock Appreciation Right	\$ 60.45	02/25/2014		M	8,00	(3)	07/01/2017	Common Stock	8,000
Stock Appreciation Right	\$ 33.05	02/25/2014		M	2,00	<u>(4)</u>	07/01/2020	Common Stock	2,000

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRAILER DANIEL A 225 WEST STATION SQUARE DRIVE SUITE 700 PITTSBURGH, PA 15219

VP, Investor Rel. & Corp. Affairs

Signatures

/s/ William W. Cline II, as Attorney-In-Fact

02/27/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - This represents the weighted average price paid for the shares. The shares were sold at prices ranging from \$84.51 to \$84.511. Mr. Brailer
- (1) will provide, upon request of the SEC staff, WESCO International, Inc., or a shareholder of WESCO International, Inc., complete information regarding the number of shares sold at each price within the range.
- (2) The Stock Appreciation Right became exercisable in three (3) equal, annual installments beginning on July 1, 2009.
- (3) The Stock Appreciation Right became exercisable in three (3) equal, annual installments beginning on July 1, 2010.
- (4) The Stock Appreciation Right became exercisable in three (3) equal, annual installments beginning on July 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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