Edgar Filing: CARNIVAL PLC - Form 4

| CARNIVAL Form 4 | | | | | | | | | | |
|---|--------------------------------------|-------------------|------------|--|--|---------|----------|--|--|---|
| February 08, 2 | | | | | | | | | | |
| FORM | 4 UNITED | STATE | S SECUR | ITIES AI | ND EXC | HAN | IGE CO | OMMISSION | | PROVAL |
| | | GINIE | | hington, | | | | | Number: | 3235-0287 |
| Check this if no longe | ar. | | | | | ~ | | | Expires: | January 31, 2005 |
| subject to | SIAIE | MENT O | | GES IN E SECURI | | CIAI | L OWN | ERSHIP OF | Estimated a | iverage |
| Section 16 Form 4 or | | | | SECURI | IIIE5 | | | | burden hou response | • |
| Form 5 obligation may contin <i>See</i> Instruct 1(b). | s Section 17 | (a) of the | | lity Hold | ing Com | pany | Act of | Act of 1934, 1935 or Sectior) | | 0.0 |
| (Print or Type R | esponses) | | | | | | | | | |
| 1. Name and Ac ARISON SH | ldress of Reporting | Person <u>*</u> | Symbol | Name and ' /AL PLC | | Tradin; | 2 | 5. Relationship of Issuer | Reporting Pers | son(s) to |
| (Last) | (First) | (Middle) | | Earliest Tra | | | | (Check | all applicable |) |
| , <i>,</i> , | . , | · · · | (Month/Da | | | | | Director | | Owner |
| | L ARISON ON, MARCAZ AUL HAMALE | | 02/07/20 | 07 | | | | below) | itle _X_ Oth below) Footnote (1) | er (specify |
| | (Street) | | 4. If Amen | dment, Dat | e Original | | | 6. Individual or Joi | int/Group Filir | g(Check |
| | | | Filed(Mont | h/Day/Year) | | | | Applicable Line) | na Danastina Da | *** |
| TEL AVIV, | L3 64367 | | | | | | | _X_ Form filed by O Form filed by M Person | | |
| (City) | (State) | (Zip) | Table | I - Non-De | erivative S | ecurit | ies Acqu | iired, Disposed of, | or Beneficial | ly Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | r) Executi any | | 3. Transactic Code (Instr. 8) | 4. Securit or(A) or Dis (Instr. 3, 4 | sposed | l of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 2 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| ~ " | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | |
| Ordinary Shares | | | | | | | | 0 | D | |
| Trust Shares (beneficial interest in special voting share) (2) (3) | | | | | | | | 1,200 | I | By Children |
| Trust Shares (beneficial | 02/07/2007 | | | S | 13,000 (4) | D | \$ 50 | 2,242,608 | D (1) | |

| interest in special voting share) (2) (3) | | | | | | | |
|---|------------|---|----------------|---|-------------|-----------|--------------|
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 300 <u>(4)</u> | D | \$ 50.02 | 2,242,308 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 100 <u>(4)</u> | D | \$ 50.03 | 2,242,208 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 300 <u>(4)</u> | D | \$ 50.04 | 2,241,908 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 500 <u>(4)</u> | D | \$ 50.05 | 2,241,408 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 800 <u>(4)</u> | D | \$ 50.06 | 2,240,608 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 600 <u>(4)</u> | D | \$ 50.07 | 2,240,008 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 100 <u>(4)</u> | D | \$ 50.08 | 2,239,908 | D <u>(1)</u> |
| | 02/07/2007 | S | 100 (4) | D | | 2,239,808 | D (1) |

| Trust Shares (beneficial interest in special voting share) (2) (3) | | | | | \$ 50.09 | | |
|---|------------|---|----------------|---|-------------|-----------|--------------|
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 100 <u>(4)</u> | D | \$ 50.1 | 2,239,708 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 200 <u>(4)</u> | D | \$ 50.11 | 2,239,508 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 100 <u>(4)</u> | D | \$ 50.14 | 2,239,408 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 300 <u>(4)</u> | D | \$ 50.19 | 2,239,108 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 500 <u>(4)</u> | D | \$ 50.2 | 2,238,608 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) $\frac{(2)}{(3)}$ | 02/07/2007 | S | 200 (4) | D | \$ 50.21 | 2,238,408 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting | 02/07/2007 | S | 300 (4) | D | \$ 50.22 | 2,238,108 | D <u>(1)</u> |

| share) $\frac{(2)}{(3)}$ | | | | | | | |
|---|------------|---|----------------|---|-------------|-----------|--------------|
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 200 <u>(4)</u> | D | \$ 50.23 | 2,397,908 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 500 <u>(4)</u> | D | \$ 50.24 | 2,237,408 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 200 <u>(4)</u> | D | \$ 50.25 | 2,237,208 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 200 <u>(4)</u> | D | \$ 50.26 | 2,237,008 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 200 <u>(4)</u> | D | \$ 50.27 | 2,236,808 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 300 <u>(4)</u> | D | \$ 50.28 | 2,236,508 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) $\frac{(2)}{(3)}$ | 02/07/2007 | S | 600 <u>(4)</u> | D | \$ 50.29 | 2,235,908 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special | 02/07/2007 | S | 700 (4) | D | \$ 50.3 | 2,535,208 | D <u>(1)</u> |

| voting share) $\frac{(2)}{(3)}$ | | | | | | |
|---|------------|---|------------------|---------------|-----------|--------------|
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 100 <u>(4)</u> E |) \$ 50.31 | 2,535,108 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 200 <u>(4)</u> E |) \$ 50.32 | 2,234,908 | D <u>(1)</u> |
| Trust Shares (beneficial interest in special voting share) (2) (3) | 02/07/2007 | S | 400 <u>(4)</u> E |) \$ 50.33 | 2,234,508 | D <u>(1)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|---|---------------------|--------------------|--|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

fficer Other

See Footnote (1)

ARISON SHARI C/O ISRAEL ARISON FOUNDATION MARCAZ GOLDA CTR 23 SHAUL HAMALECH BLVD TEL AVIV, L3 64367

Signatures

/s/ John J. O'Neil, Attorney-in-Fact

02/08/2007

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the trust shares (the "Trust Shares") of beneficial interests in P&O Princess Special Voting Trust (the "Trust") and an interest in the Carnival plc special voting share.

(1) However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Trust Shares and an interest in the Carnival plc special voting share for purposes of Section 16 or for any other purpose.

Represents Trust Shares of beneficial interests in the Trust. In connection with the dual listed company transaction between Carnival plc (formerly known as P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival

- (2) Corporation (the "Carnival Corporation Common Stock"). Following the completion of the DLC Transaction, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (3) The prices included on this form represent the sales price for the paired Trust Shares and shares of Carnival Corporation Common Stock.
- (4) The shares covered by this form are being sold pursuant to a Rule 10b5-1(c) sales plan dated January 3, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. t, Finance Executive Officer & Investment and Chief Financial Officer Citigroup Inc. Robert B. Willumstad President Executive Officer Citigroup Inc. 15 of 16 EXHIBIT INDEX 1. Joint Filing Agreement, dated as of July 12, 2002 by and among CVC, Citibank, Citicorp, Citigroup Holdings and Citigroup. 16 of 16