

BARBER DAVID
Form 4
February 07, 2019

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BARBER DAVID

2. Issuer Name and Ticker or Trading Symbol
Home Federal Bancorp, Inc. of Louisiana [HFBL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

SVP Mortgage Lending ***

C/O HOME FEDERAL BANK, 222 FLORIDA STREET

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(Street)

SHREVEPORT, LA 71105

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common Stock | 02/05/2019 | | A | (A) or (D) 1,000 (1) | \$ 0 1,897 | D | |
| Common Stock | | | | | 1,714.9007 (2) | I | By 401(k) Plan |
| Common Stock | | | | | 5,696.7912 (3) | I | By ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Employee Stock Option (Right to Buy) | \$ 31.25 | 02/05/2019 | | A | 4,500 | <u>(4)</u> 02/05/2029 | Common Stock | 4,500 |
| Employee Stock Option (Right to Buy) | \$ 10.93 | | | | | 08/19/2015 ⁽⁵⁾ 08/19/2020 | Common Stock | 961 |
| Employee Stock Option (Right to Buy) | \$ 14.7 | | | | | 01/31/2017 ⁽⁶⁾ 01/31/2022 | Common Stock | 1,945 |
| Employee Stock Option (Right to Buy) | \$ 23 | | | | | <u>(7)</u> 10/26/2025 | Common Stock | 2,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| BARBER DAVID C/O HOME FEDERAL BANK 222 FLORIDA STREET SHREVEPORT, LA 71105 | | | SVP Mortgage Lending *** | |

Signatures

/s/David S.
Barber

02/07/2019

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the grant of shares pursuant to the 2014 Stock Incentive Plan that vest 20% per year commencing on February 5, 2020.
- (2) Reflects units which represent share interests and a reserve of cash. Includes units acquired in the Home Federal Bank Employees' Savings and Profit Sharing Plan and Trust since the last filed Form 4, based on a report dated February 5, 2019.
- (3) Includes shares allocated to the reporting person's account in the ESOP since the last filed Form 4.
- (4) The options vest at a rate of 20% per year commencing on February 5, 2020.
- (5) The options vested at a rate of 20% per year commencing on August 19, 2011.
- (6) The options vested at a rate of 20% per year commencing on January 31, 2013.
- (7) The options are vesting at a rate of 20% per year commencing on October 26, 2016.

Remarks:

*** Senior Vice President Mortgage Lending of Home Federal Bank (Issuer subsidiary)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.