### Edgar Filing: BONDERMAN DAVID - Form 3

### **BONDERMAN DAVID**

Form 3 April 24, 2009

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

TPG Advisors IV, Inc.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/24/2009

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

LPL Investment Holdings Inc. [NONE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O TPG CAPITAL, L.P.,, 301 COMMERCE STREET, SUITE 3300

(Street)

Director \_X\_\_ 10% Owner Officer

(Check all applicable)

Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

FORT WORTH. TXÂ 76102

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D) or Indirect

(I) (Instr. 5)

Common stock, par value \$0.001 per share

34,210,185.1

See Explanation of Responses (1) Ι

and (2)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying

Conversion

Ownership

6. Nature of Indirect Beneficial Ownership

**Derivative Security** or Exercise Form of (Instr. 5)

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		(Instr. 4)		Price of	Derivative
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TPG Advisors IV, Inc. C/O TPG CAPITAL, L.P., 301 COMMERCE STREET, SUITE 3300 FORT WORTH, TX 76102	Â	ÂX	Â	Â
BONDERMAN DAVID 301 COMMERCE STREET, SUITE 3300 FORT WORTH, TX 76102	Â	ÂX	Â	Â
COULTER JAMES G 301 COMMERCE STREET, SUITE 3300 FORT WORTH, TX 76102	Â	ÂX	Â	Â
Signatures				
/-/ Clina D. D. da William Donald and TDC Ada	· · · · · · · · · · · · · · · · · · ·			

/s/ Clive D. Bode, Vice President, TPG Advisors IV, Inc. (3)	04/24/2009
**Signature of Reporting Person	Date
/s/ Clive D. Bode, on behalf of David Bonderman (3) (4)	04/24/2009
**Signature of Reporting Person	Date
/s/ Clive D. Bode, on behalf of James G. Coulter (3) (4)	04/24/2009
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

# Â

### **Remarks:**

(1) David Bonderman and James G. Coulter are directors, officers and sole shareholders of TPGÂ Ac corporation ("TPG Advisors IV" and, together with Messrs. Bonderman and Coulter, the "ReportingÂ the general partner of TPG GenPar IV, L.P., a Delaware limited partnership, which in turn is th Partners IV, L.P., a Delaware limited partnership ("TPG Partners IV"), which directly holds the sh LPL Investment Holdings Inc. (the "Shares") reported herein.

(2) Because of the Reporting Persons' relationship to TPG Partners IV, the Reporting Persons mayÂ own the Shares directly held by TPG Partners IV to the extent of the greater of their respectiv

Reporting Owners

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pecuniary interests in the profits or capital accounts of TPG Partners IV. Â Pursuant to Rule 16a- Securities Exchange Act of 1934, as amended (the "Exchange Act"), this filing shall not be deem Reporting Persons are, for purposes of Section 16 of the Exchange Act or otherwise, the benefici excess of such amounts.

- (3) The Reporting Persons are jointly filing this Form 3 pursuant to Rule 16a-3(j) under the Excha
- (4) Clive D. Bode is signing on behalf of both Messrs. Bonderman and Coulter pursuant to the a letters dated August 31, 2006, which were previously filed with the Securities and Exchange Composite File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.