Lombarte Jordi Form 3/A March 07, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0104 Number:

January 31, Expires: 2005

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person * Lombarte Jordi

INC., KLARABERGSVIADUKTEN

(Street)

C/O AUTOLIV,

70. SECTION B7

(Last) (First)

(Middle)

2. Date of Event Requiring Statement (Month/Day/Year)

06/29/2018

3. Issuer Name and Ticker or Trading Symbol

AUTOLIV INC [ALV]

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

07/09/2018

(Check all applicable)

Director _ 10% Owner

X Officer Other (give title below)(specify below) Chief Technology Officer

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One Reporting Person

STOCKHOLM, V7Â SE-111 64

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Â Common Stock $1,792 \frac{(1)}{}$ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

4.

5. 6. Nature of Ownership Indirect Beneficial Form of Ownership Derivative (Instr. 5)

Date Exercisable Expiration Date

Title

Derivative Security:

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				Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Unit	(2)	(2)	Common Stock	126 (1)	\$ <u>(3)</u>	D	Â
Restricted Stock Unit	02/19/2020(4)	02/19/2020(4)	Common Stock	435.032 (1) (5)	\$ (3)	D	Â
Restricted Stock Unit	02/13/2021(4)	02/13/2021(4)	Common Stock	639.0429 (1) (5)	\$ <u>(3)</u>	D	Â
Restricted Stock Unit (6)	02/15/2019(4)	02/15/2019(4)	Common Stock	378 (1)	\$ <u>(3)</u>	D	Â
Restricted Stock Unit (6)	02/19/2020(4)	02/19/2020(4)	Common Stock	435.032 (1) (5)	\$ <u>(3)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Lombarte Jordi						
C/O AUTOLIV, INC.	â	â	Chief Technology Officer	â		
KLARABERGSVIADUKTEN 70, SECTION B7		A	A Chief Technology Officer			
STOCKHOLM, V7 SE-111 64						

Signatures

/s/ Brian Kelly as attorney-in-fact 03/07/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On June 29, 2018, the issuer completed its spin-off of its wholly-owned subsidiary Veoneer, Inc. (the "Spin-off"). The number of shares (1) held, as well as the number of shares underlying RSUs, were adjusted in connection with the Spin-off. Because the adjustment calculations were not available as of the date of this filing, this Form 3 reflects the reporting person's holdings on a pre-adjustment basis.
- (2) The restricted stock units (RSUs) granted to the reporting person on February 15, 2016 vest and convert to shares in approximately installments on the first, second and third anniversaries of the grant date of the RSUs.
- (3) Each RSU represents a contingent right to receive one share of issuer common stock.
- (4) The RSUs vest and convert to shares in one installment on the third anniversary of the grant date.
- Includes dividend equivalent rights accrued in the form of additional RSUs in respect of the RSUs granted to the reporting person. Per the terms of the award agreement, any cash dividend paid with respect to Autoliv's common stock for which the record date occurs on or after the grant date and the payment date occurs on or before the vesting date will result in a credit of additional RSUs, which are subject to the same vesting schedule as the underlying RSUs.

(6) Reflects performance shares that converted into RSUs in connection with the Spin-off.

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Remarks:

Reporting Owners 2

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See Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.