Aunins John G. Form 4 September 19, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

Expires:

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Aunins John G. Issuer Symbol Seres Therapeutics, Inc. [MCRB] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify C/O SERES THERAPEUTICS. 05/15/2018 below) INC., 200 SIDNEY STREET See Remarks (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

#### CAMBRIDGE, MA 02139

(City)	(State) (Z	Cip) Table	I - Non-De	rivative S	ecurit	ies Acquire	ed, Disposed of, o	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) nor Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(mour 1)	
COMMON STOCK	05/15/2018		S(1)	14,279	D	\$ 8.0818 (2)	146,648	D	
COMMON STOCK	08/15/2018		S <u>(1)</u>	14,279	D	\$ 7.5684 (3)	132,369	D	
COMMON STOCK	09/17/2018		S <u>(1)</u>	14,279	D	\$ 7.2747 (4)	118,090	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9.
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	ınt of	Derivative	D
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	Se
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	В
		Derivative				Securities		(Instr	. 3 and 4)		O	
		Security				Acquired						Fo
						(A) or						R
						Disposed						Tı
						of (D)						(I
						(Instr. 3,						
						4, and 5)						
										Amount		
										or		
							Date	Expiration able Date	Title N	Number		
							Exercisable			of		
				Code V	(A) (D)				Shares			
					Code v	(II)				Silaics		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Aunins John G. C/O SERES THERAPEUTICS, INC. 200 SIDNEY STREET CAMBRIDGE, MA 02139

See Remarks

# **Signatures**

/s/ Thomas J. DesRosier, Attorney-in-Fact

09/19/2018

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in the Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 15, 2018.
- The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$7.8800 to \$8.1400. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. This filing is being reported late due to an inadvertent administrative error.
- The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$7.2500 to \$7.7600. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. This filing is being reported late due to an inadvertent administrative error.

Reporting Owners 2

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The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$7.0914 (4) to \$7.5600. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

#### **Remarks:**

### Chief Technology Officer and EVP

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.