

CHS INC  
Form 5  
October 17, 2016

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
KNECHT RANDY

(Last) (First) (Middle)

5500 CENEX DRIVE, C/O CHS  
INC

(Street)

2. Issuer Name and Ticker or Trading Symbol  
CHS INC [CHSCP]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
08/31/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

INVER GROVE  
HEIGHTS, MN 55077

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) Amount	or (D) Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class B Cum Redeemable Pref Stock, Series 1 (CHSCO)	12/31/2015	Â	P	0.41	A	\$ 28.8049	24.41	I	By Spouse
Class B Cum Redeemable Pref Stock,	03/31/2016	Â	P	0.417	A	\$ 28.801	24.827	I	By Spouse

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Series 1 (CHSCO)										
Class B Cum Redeemable Pref Stock, Series 1 (CHSCO)	06/30/2016	Â	P	0.424	A	\$ 28.8208	25.251	I	By Spouse	
8% Cumulative Redeemable Preferred Stock (CHSCP)	09/30/2015	Â	P	9.501	A	\$ 30.681	592.501	I	By Spouse's IRA	
8% Cumulative Redeemable Preferred Stock (CHSCP)	12/31/2015	Â	P	9.585	A	\$ 30.9077	602.086	I	By Spouse's IRA	
8% Cumulative Redeemable Preferred Stock (CHSCP)	03/31/2016	Â	P	9.554	A	\$ 31.5093	611.64	I	By Spouse's IRA	
8% Cumulative Redeemable Preferred Stock (CHSCP)	06/30/2016	Â	P	9.18	A	\$ 33.3137	620.82	I	By Spouse's IRA	
Class B Cum Redeemable Pref Stock, Series 1 (CHSCO)	12/31/2015	Â	P	2.036	A	\$ 28.7672	121.036	I	Joint with Spouse	
Class B Cum Redeemable Pref Stock, Series 1 (CHSCO)	03/31/2016	Â	P	2.069	A	\$ 28.7917	123.105	I	Joint with Spouse	
Class B Cum Redeemable Pref Stock, Series 1 (CHSCO)	06/30/2016	Â	P	2.104	A	\$ 28.7975	125.209	I	Joint with Spouse	

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Class B Cum Redeemable Pref Stock, Series 1 (CHSCO)	12/31/2015	Â	P	1.283	A	\$ 28.7685	76.283	D	Â
Class B Cum Redeemable Pref Stock, Series 1 (CHSCO)	03/31/2016	Â	P	1.304	A	\$ 28.796	77.587	D	Â
Class B Cum Redeemable Pref Stock, Series 1 (CHSCO)	06/30/2016	Â	P	1.326	A	\$ 28.8009	78.913	D	Â
8% Cumulative Redeemable Preferred Stock (CHSCP)	09/30/2015	Â	P	3.911	A	\$ 30.6827	170.911	I	IRA
8% Cumulative Redeemable Preferred Stock (CHSCP)	12/31/2015	Â	P	3.946	A	\$ 30.9072	174.857	I	IRA
8% Cumulative Redeemable Preferred Stock (CHSCP)	03/31/2016	Â	P	3.933	A	\$ 31.5103	178.79	I	IRA
8% Cumulative Redeemable Preferred Stock (CHSCP)	06/30/2016	Â	P	3.779	A	\$ 33.3157	182.569	I	IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Price of Underlying Security (Instr. 5)
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KNECHT RANDY 5500 CENEX DRIVE C/O CHS INC INVER GROVE HEIGHTS, MN 55077	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Signatures

James M. Zappa, Attorney  
in Fact 10/17/2016

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.