#### Edgar Filing: NAVISTAR INTERNATIONAL CORP - Form 4

#### NAVISTAR INTERNATIONAL CORP

Form 4

March 12, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

response...

burden hours per

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obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **CLARKE TROY A** 

2. Issuer Name and Ticker or Trading

Issuer

below)

5. Relationship of Reporting Person(s) to

Symbol

NAVISTAR INTERNATIONAL CORP [NAV]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

NAVISTAR INTERNATIONAL CORPORATION, 2701 NAVISTAR

(Street)

President & CEO

4. If Amendment, Date Original

03/10/2014

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LISLE, IL 60532

DRIVE

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Indirect Form: Direct (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price Common

54,100

D

Premium

Stock

**Share Units** (1)

6.113

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (right to buy)	\$ 35.09	03/10/2014		A	135,012	<u>(2)</u>	03/10/2021	Common Stock	135,01
Employee Stock Option (right to buy)	\$ 43.86	03/10/2014		A	81,007	(3)	03/10/2021	Common Stock	81,007

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
and the second	Director	10% Owner	Officer	Other	
CLARKE TROY A					
NAVISTAR INTERNATIONAL CORPORATION	X		President		
2701 NAVISTAR DRIVE	Λ		& CEO		
LISLE, IL 60532					

## **Signatures**

Curt A. Kramer, Attorney

in fact 03/12/2014 Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The premium share units were acquired under Navistar's Executive Stock Ownership Program. Each premium share unit represents one **(1)** share of Navistar common stock.
- The option becomes exercisable in three annual installments as follows: 45,004 shares on March 10, 2015; 45,004 shares on March 10, **(2)** 2016; and 45,004 shares on March 10, 2017.
- The option becomes exercisable in three annual installments as follows: 27,003 shares on March 10, 2015; 27,002 shares on March 10, **(3)** 2016; and 27,002 shares on March 10, 2017.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.