### Edgar Filing: NAVISTAR INTERNATIONAL CORP - Form 4

#### NAVISTAR INTERNATIONAL CORP

Form 4

February 05, 2014

| FORM 4         |  | OMB APPROVAL                       |               |  |
|----------------|--|------------------------------------|---------------|--|
| i Oi livi 4    | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Workington, D. C. 20540 | OMB                                | 3235-0        |  |
| Check this box | Washington, D.C. 20549   | Number:                            |               |  |
| if no longer   | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF                          | Expires:                           | January<br>20 |  |
| subject to     | CECUDITIES   | Estimated average burden hours per |               |  |
| Section 16.    |  |                                    |               |  |
| Form 4 or      |  | response                           | •             |  |
| Form 5         | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1034   | ·                                  |               |  |

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

average urs per 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

5. Relationship of Reporting Person(s) to

3235-0287 January 31, 2005

may continue. See Instruction 1(b).

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| Tarapchak Richard C |                                      |   | Symbol<br>NAVIS        | 2. Issuer Name and Ticker or Trading Symbol NAVISTAR INTERNATIONAL CORP [NAV] |   |                          |  | Issuer  (Check all applicable)   |   |  |  |
|---------------------|--------------------------------------|---|------------------------|---|---|--------------------------|--|--|---|--|--|
|                     |                                      | (First) (M<br>INTERNATION<br>TION, 2701 NAV | (Month/I<br>AL 02/03/2 | 3. Date of Earliest Transaction (Month/Day/Year) 02/03/2014                   |   |                          |  | Director 10% Owner _X Officer (give title Other (specify below)  SVP & Corporate Controller  |   |  |  |
|                     | LISLE, IL 60                         | (Street)                                    |                        | 4. If Amendment, Date Original Filed(Month/Day/Year)                          |   |                          |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |   |  |  |
|                     | (City)                               | (State) (Z                                  | Zip) Tah               | le I - Non-D  | erivative S                                       | Securities Ac            | quired, Disposed   | of, or Beneficia   | llv Owned   |  |  |
|                     | 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year)     |                        | 3.<br>Transaction<br>Code   | 4. Securi<br>onAcquired<br>Disposed<br>(Instr. 3, | ties<br>(A) or<br>of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)   | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
|                     | Common<br>Stock                      |   |                        |   |   |                          | 9,592  | D  |   |  |  |
|                     | Premium<br>Share Units               |   |                        |   |   |                          | 2,510  | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | f Derivative Expiration Date ecurities (Month/Day/Year) cquired A) or bisposed of D) nstr. 3, 4, |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Pr<br>Deri<br>Secu<br>(Inst |
|---|---|---|---|---------------------------------------|--|--|--------------------|---|--|--------------------------------|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                |
| Restricted<br>Stock<br>Units                        | <u>(2)</u>  | 02/03/2014                              |   | A                                     | 1,230  | (3)  | (3)                | Common<br>Stock   | 1,230                                  | 9                              |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Tarapchak Richard C NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532

**SVP & Corporate Controller** 

## **Signatures**

Curt A. Kramer, Attorney

in fact 02/05/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The premium share units were acquired under Navistar's Executive Stock Ownerhip Program. Each premium share unit represents one share of Navistar common stock.
- (2) Each restricted stock unit (RSU) represents the right to receive the cash equivalent of Navistar's common stock converted on a 1 to 1 basis, unless Navistar otherwise elects to settle the RSUs in Navistar common stock on a 1 to 1 basis.
- The RSUs will become payable in cash, unless Navistar elects to settle the RSUs in common stock, in three annual installments as follows: 410 shares on 2/3/2015; 410 shares on 2/3/16; and 410 shares on 2/3/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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