Smail David W Form 4 March 22, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

### **OMB APPROVAL**

Check this box if no longer subject to

Washington, D.C. 20549

3235-0287 Number:

Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

January 31,

0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Smail David W

Symbol

(Check all applicable)

SCIENTIFIC GAMES CORP [SGMS]

Director 10% Owner

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title Other (specify below)

C/O SCIENTIFIC GAMES

(Street)

(State)

03/20/2018

Exec VP & Chief Legal Officer

CORPORATION, 6601 BERMUDA ROAD

(City)

1.Title of

Security

(Instr. 3)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

D

LAS VEGAS, NV 89119

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

6,477

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4) Code V (D) Price Amount

Α

Class A Common 03/20/2018 Stock

\$

M

Class A Common 03/20/2018 F 2,549 D

46.1 24,451

\$0

(1)

27,000

Class A Common

Stock

Stock

03/20/2018 M 2,893 \$0 27,344 D A

#### Edgar Filing: Smail David W - Form 4

Class A Common 03/20/2018 F 1,139 D 46.1 26,205 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(2)</u>	03/20/2018		M	6,477	(2)	(2)	Common Stock	6,477	\$
Restricted Stock Units	(3)	03/20/2018		M	2,893	(3)	(3)	Common Stock	2,893	\$

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Smail David W C/O SCIENTIFIC GAMES CORPORATION 6601 BERMUDA ROAD LAS VEGAS, NV 89119

Exec VP & Chief Legal Officer

**Signatures** 

/s/ McLaurin Files, attorney-in-fact for David W. 03/22/2018 Smail

> \*\*Signature of Reporting Person Date

Reporting Owners 2 Edgar Filing: Smail David W - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the satisfaction of tax withholding obligations upon the vesting of restricted stock units.
- (2) Represents vesting of one-fourth of restricted stock units granted on June 21, 2016. The balance of the award is scheduled to vest on March 20, 2019 (6,476 shares) and 2020 (6,477 shares). Each unit converts into a share of common stock on a one-for-one basis.
- Represents vesting of one-fourth of restricted stock units granted on March 9, 2017. The balance of the award is scheduled to vest on (3) March 20, 2019 (2,894 shares), 2020 (2,893 shares) and 2021 (2,894 shares). Each unit converts into a share of common stock on a
- (3) March 20, 2019 (2,894 shares), 2020 (2,893 shares) and 2021 (2,894 shares). Each unit converts into a share of common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.