

Edgar Filing: LEGG MASON INC - Form 8-K

LEGG MASON INC  
Form 8-K  
August 09, 2002

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d) OF  
THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of Earliest Event Reported): August 9, 2002  
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LEGG MASON, INC.

-----  
(Exact Name of Registrant as Specified in its Charter)

|   |                          |                                      |
|---|--------------------------|--------------------------------------|
| Maryland  | 1-8529                   | 52-1200960                           |
| -----   | -----                    | -----                                |
| (State or Other Jurisdiction<br>of Incorporation) | (Commission<br>File No.) | (IRS Employer<br>Identification No.) |

100 Light Street, Baltimore, Maryland 21202  
-----  
(Address of Principal Executive Office) (Zip Code)

Registrant's Telephone Number, Including Area Code: (410) 539-0000

Not Applicable

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(Former name or former address, if changed since last report)

- Item 7. Financial Statements and Exhibits
- (a) Financial statements of businesses acquired.  
Not applicable.
  - (b) Pro forma financial information.  
Not applicable.
  - (c) Exhibits.

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The following exhibits are furnished pursuant to Item 9. of this Report.

99.1 Certificate of Chief Executive Officer  
99.2 Certificate of Principal Financial Officer

Item 9. Regulation FD Disclosure

Legg Mason, Inc. is furnishing the written statements of its Chief Executive Officer and its Principal Financial Officer, which are attached hereto as Exhibits 99.1 and 99.2, to accompany its Quarterly Report on Form 10-Q for the quarter ended June 30, 2002 as required by Section 906 of the Sarbanes-Oxley Act of 2002.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

LEGG MASON, INC.

Date: August 9, 2002

By:

/s/ Charles J. Daley, Jr.  
-----  
Charles J. Daley, Jr.  
Senior Vice President and  
Treasurer

### EXHIBIT INDEX

Exhibit

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