Edgar Filing: Higgins Bren D. - Form 4

Higgins Bren D. Form 4 August 08, 2018OMB AUTICLFORM 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549OMB AU335-0287Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESOMB AU335-0287Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940State Auticution attribution 1940									
(Print or Type I 1. Name and A Higgins Bre	Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
(Last) C/O KLA-T CORPORA TECHNOL	Earliest Transaction ay/Year) D18				(Check all applicable) Director 10% Owner X Officer (give title Other (specify below) EVP & Chief Financial Officer				
MILPITAS,	ndment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City)	(State) (Zip) Tabi	a I Non D	orivotivo	Soone		Person iired, Disposed of,	or Bonoficial	ly Ownod
1.Title of Security (Instr. 3)	(Month/Day/Year) E ar	. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)			ties A ispose 4 and (A) or	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock - Restricted Stock Units	08/06/2018		М	Amount 8,215 (2)	D	\$ 0	75,347 <u>(3)</u>	D	
Common Stock	08/06/2018		М	8,215 (2)	А	\$ 0	12,242	D	
Common Stock	08/06/2018		F	4,073 (4)	D	\$ 118.27	8,169	D	
Common	08/06/2018		М	3,312	D	\$0	72,035 <u>(3)</u>	D	

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Stock - Restricted Stock Units			(5)				
Common Stock	08/06/2018	М	3,312 (5)	A	\$0	11,481	D
Common Stock	08/06/2018	F	1,643 (4)	D	\$ 118.27	9,838	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amoun Underl Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of		

Code V (A) (D)

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Higgins Bren D. C/O KLA-TENCOR CORPORATION ONE TECHNOLOGY DRIVE MILPITAS, CA 95035			EVP & Chief Financial Officer					
Signatures								
/s/ Teri A. Little as Attorney-in-Fact for Bren Higgins	n D.	08/08/2018						

**Signature of Reporting Person

Date

Shares

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of KLA-Tencor common stock.

On August 6, 2015, in addition to the RSUs granted on that date that were subject only to service-vesting requirements (which RSUs were previously reported on Form 4), the Reporting Person was also granted RSUs covering up to a maximum of 16,562 shares (based on 125% of the target shares of 13,250) of KLA-Tencor common stock, subject to both performance-vesting and service-vesting

(2) The first index states of 19,250 of the LTT renear common stock, subject to boar performance vesting and service vestin

Does not include performance-based RSUs, if any, held by the Reporting Person for which an assessment has not yet been made(3) regarding the achievement of the applicable performance goals. Any such holdings will be reported on a Form 4 within two business days of the date such assessment is made.

Pursuant to the terms of the grant, shares of KLA-Tencor common stock were automatically withheld at vesting to cover required tax(4) withholding. The fair market value of KLA-Tencor common stock used for purposes of calculating the number of shares to be withheld was the closing price of KLA-Tencor common stock as reported on August 6, 2018.

(5) On August 6, 2015, the Reporting Person was granted an RSU for 13,250 shares of KLA-Tencor Common Stock. On August 6, 2018, 25% of those shares vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.