Edgar Filing: INC Research Holdings, Inc. - Form 4

INC Resear Form 4 June 16, 20	rch Holdings, Inc. 16									
FORM	ЛД								OMB AF	PPROVAL
	UNITED	STATES		RITIES A shington				OMMISSION	OMB Number:	3235-0287
Check t if no loi	agar				DENE				Expires:	January 31, 2005
subject Section Form 4 Form 5 obligati	to 16. or Filed pur ^{ons} Section 17(STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Estimated average burden hours per								iverage
may con <i>See</i> Inst 1(b).	ntinue.			•	•	-	ct of 1940			
(Print or Type	Responses)									
	Address of Reporting hristopher L	Person <u>*</u>	Symbol	er Name and esearch H]	5. Relationship of l Issuer	Reporting Pers	son(s) to
(Last)	(First) (N	/liddle)					INCK	(Check	all applicable)	
C/O INC R	RESEARCH HOLI BEECHLEAF CO	DINGS,	(Month/Day/Year) Director 10% Owner GS, 06/14/2016 Officer (give title Other (specify below) below)							
RAL EIGH	(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) X_ Form filed by One Reporting Person					rson				
(City)		(Zip)						Person		
							_	ired, Disposed of,		-
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8)	onor Dispo (Instr. 3,	(A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A				Code V	Amount	(D)	Price	(,		
Common Stock	06/14/2016			M <u>(1)</u>	1,946	А	\$ 16.06	18,287	D	
Class A Common Stock	06/14/2016			S <u>(1)</u>	1,946	D	\$ 40.1123 (2)	16,341	D	
Class A Common Stock	06/14/2016			M <u>(1)</u>	1,100	А	\$ 10.57	17,441	D	
Class A	06/14/2016			S <u>(1)</u>	1,100	D	\$ 40.052	2 16,341	D	

Common Stock					(3)		
Class A Common Stock	06/15/2016	M <u>(1)</u>	728	А	\$ 10.57	17,069	D
Class A Common Stock	06/15/2016	S <u>(1)</u>	728	D	\$ 40.215 (4)	16,341	D
Class A Common Stock	06/15/2016	M <u>(1)</u>	3,928	А	\$ 16.06	20,269	D
Class A Common Stock	06/15/2016	S <u>(1)</u>	3,928	D	\$ 40.1598 (5)	16,341	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number orof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	ate	7. Title and J Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy Class A Common Stock)	\$ 16.06	06/14/2016		M <u>(1)</u>	1,946	<u>(6)</u>	06/30/2024	Class A Common Stock	1,946
Stock Option (Right to Buy	\$ 10.57	06/14/2016		M <u>(1)</u>	1,100	<u>(7)</u>	04/02/2022	Class A Common Stock	1,100

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Class A Common Stock)								
Stock Option (Right to Buy Class A Common Stock)	\$ 10.57	06/15/2016	M <u>(1)</u>	728	(7)	04/02/2022	Class A Common Stock	728
Stock Option (Right to Buy Class A Common Stock)	\$ 16.06	06/15/2016	M <u>(1)</u>	3,928	<u>(6)</u>	06/30/2024	Class A Common Stock	3,928

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Gaenzle Christopher L C/O INC RESEARCH HOLDINGS, INC. 3201 BEECHLEAF COURT, SUITE 600 RALEIGH, NC 27604			CAO, GC & Sec				

Signatures

/s/ Christopher L.	06/16/2016
Gaenzle	06/16/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 4, 2016.
- The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$40.00
 (2) to \$40.31, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$40.00(3) to \$40.29, inclusive. The reporting person will provide to INC Research Holdings, Inc. (the "Issuer"), any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$40.12
(4) to \$40.31, inclusive. The reporting person will provide to INC Research Holdings, Inc. (the "Issuer"), any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

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The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$40.00(5) to \$40.38, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

One half of the shares subject to this option vest yearly in five equal installments beginning on June 30, 2015, subject to continued(6) employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2014, subject to continued employment.

One half of the shares subject to this option vest yearly in five equal installments beginning on April 2, 2013, subject to continued
 (7) employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2013, subject to continued employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.