DEAN FOODS CO Form 4 June 03, 2014

# FORM 4

### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Expires: January 31, 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

06/01/2014

(Print or Type Responses)

1. Name and A VOPNI SC	Address of Reporting 1 OTT K	Symbol	r Name <b>and</b> Ticker or Trading  FOODS CO [DF]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
	(First) (M TH HASKELL SUITE 3400	,	f Earliest Transaction Day/Year) 1014	(Check all applicable)  Director 10% OwnerX_ Officer (give title Other (specify below)  SVP/Chief Accounting Officer		
DALLAS, 7		Filed(Mon	endment, Date Original nth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. 4. Securities Acquire Transaction(A) or Disposed of ( Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Pri 3.133	D) Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)		
Stock	00/01/2014		$M = {}^{(1)} A = \$ 0$	15,483.5 D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

857 (1) D

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SEC 1474

(9-02)

14,626.5

D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D)		6. Date Exercisals Expiration Date (Month/Day/Year		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
			Code V	(Instr. 3 and 5)	(D)	Date Exercisable	Expiration Date	Title	Am or Nur of Sha
Restricted Stock Units	\$ 0	06/01/2014	M		3,133	06/01/2013 <u>(4)</u>	06/01/2022	Common	3,

(1) (3)

Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 6	Director	10% Owner	Officer	Other		
VOPNI SCOTT K 2711 NORTH HASKELL AVENUE SUITE 3400 DALLAS, TX 75204			SVP/Chief Accounting Officer			

# **Signatures**

(DU705713)

Kay F. Stockler, Attorney
In Fact
06/03/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Reporting Person received a total of 3,133 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (1) restricted stock units (RSUs). A total of 857 shares were surrendered to satisfy tax obligations of the Reporting Person, resulting in the issuance of a total of 2,276 net shares of Common Stock.
- (2) These RSUs represent the right to receive shares of Common Stock of the Issuer in the future, subject to the terms and conditions of the award agreement.
- (3) These RSUs have been adjusted to reflect the reverse stock split on August 26, 2013.
- (4) These RSUs vest annually, on a pro rata basis, over a 3-year period beginning on the first anniversary date of the grant.
- (5) Represents the third and final tranche of this RSU award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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