HomeStreet, Inc. Form 4 December 18, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

5. Relationship of Reporting Person(s) to

Issuer

10,070.4

21,334.09

D

Ι

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

Expires: January 31, 2005

**OMB APPROVAL** 

Section 16.
Form 4 or
Form 5
obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

BENNION RICHARD W H

		Hon	HomeStreet, Inc. [HMST]				(Check all applicable)			
(Last) (First) (Middle) 601 UNION STREET, SUITE 2000			3. Date of Earliest Transaction (Month/Day/Year) 12/12/2013				Director 10% Owner X_ Officer (give title Other (specify below)			
SEATTLE	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Year	Code	4. Securition Dispose (Instr. 3, 4)	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/12/2013		M	16,000	A	\$ 0.75	26,856 <u>(1)</u>	I	Bennion Revocable Living Trust	
Common Stock	12/12/2013		S	7,500	D	\$ 20.348	19,356	I	Bennion Revocable Living Trust	

Common Stock

HomeStreet, Inc. 401(k) Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock	¢ 0.75	12/12/2012		M	16 000	(4)	11/20/2020	Common	16 000

M

### **Reporting Owners**

\$ 0.75

Reporting Owner Name / Address Relationships

12/12/2013

Director 10% Owner Officer Other

BENNION RICHARD W H 601 UNION STREET, SUITE 2000 SEATTLE, WA 98101

EVP - Res. Lending Director

16,000

(4)

11/29/2020

16,000

Stock

## **Signatures**

Option

(Right To Buy)

/s/ Godfrey B. Evans, attorney in fact for Richard W.H.

Bennion 12/18/2013

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### Edgar Filing: HomeStreet, Inc. - Form 4

- (1) This total includes a deduction of 56.493 shares, which were reported in error on a Form 4 dated April 24, 2013.
- (2) Mr. Bennion is the co-trustee with Diane Bennion for the Bennion Revocable Living Trust dated 12/19/02.
- (3) Participants in HomeStreet, Inc.'s 401(k) Savings Plan have the authority to direct voting of shares they hold through such plan.
- (4) These options were fully vested as of November 29, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.