## Edgar Filing: HomeStreet, Inc. - Form 4

HomeStreet,	Inc.										
Form 4	4 2012										
December 04, 2013											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB	OMB APPROVAL	
Check th	is hox		Washington, D.C. 20549						Number:	3235-0287 January 31,	
if no long subject to Section 1 Form 4 c Form 5	ger 5 16. 5 5 5 Filed pur	<b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,									
obligations may continue. See InstructionSection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).											
(Print or Type Responses)											
EVANS GODFREY B S				Name and			ıg	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N						(Checl	ck all applicable)			
(M				(Month/Day/Year) 12/02/2013				Director 10% Owner X Officer (give title Other (specify below) EVP - General Counsel			
				nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SEATTLE, WA 98101 — Form filed by More than One Reporting Person										porting	
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative S	Securi	ities Acqu	iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution D any	1			l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)				
C				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	12/02/2013			М	40,000	А	\$0	49,088	D		
Common Stock	12/02/2013			S	7,939	D	\$ 20.85	41,149	D		
Common Stock	12/03/2013			S	12,061	D	\$ 20.7	29,088	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	<ul> <li>5. Number of</li> <li>actionDerivative</li> <li>Securities</li> <li>8) Acquired (A)</li> <li>or Disposed of</li> <li>(D)</li> <li>(Instr. 3, 4, and 5)</li> </ul>		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right To Buy)	\$ 0.75	12/02/2013		М		40,000	<u>(1)</u>	10/22/2020	Common Stock	40,000

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
EVANS GODFREY B 601 UNION STREET, SUITE 2000 SEATTLE, WA 98101				EVP - General Counsel				
Signatures								
/s/ Godfrey B. Evans	12/04/201	3						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options were fully vested as of October 22, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

<u>\*\*</u>Signature of Reporting Person