HomeStreet, Inc. Form 4 June 06, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading VAN AMEN DARRELL Issuer Symbol HomeStreet, Inc. [HMST] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 601 UNION STREET, SUITE 2000 06/04/2013 below) EVP, Chief Investment Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SEATTLE, WA 98101 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	140	Ton-Derivative Securities Acquired, Disposed of, or Beneficiary Owned							
2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6.	7. Nature of	
(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or				Securities	Ownership	Indirect	
	any	Code	Disposed of (D)			Beneficially	Form: Direct	Beneficial	
	(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	(D) or	Ownership	
						Following	Indirect (I)	(Instr. 4)	
				( )		Reported	(Instr. 4)		
						Transaction(s)			
		Code V	Amount		Drigo	(Instr. 3 and 4)			
		Code v	Amount	(D)	Titte				
06/04/2013		M	3,000	A	\$ 2	19,550	D		
			,			,			
								HomeStreet,	
								Inc. $401(k)$	
	(Month/Day/Year)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)	2. Transaction Date 2A. Deemed 3.  (Month/Day/Year) Execution Date, if any Code (Month/Day/Year) (Instr. 8)  Code V	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  Code V Amount	2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (A) or Code V Amount (D)	2. Transaction Date (Month/Day/Year)    Execution Date, if any (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (A) or Code V Amount (D) Price	2. Transaction Date (Month/Day/Year)    2. Transaction Date (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (Instr. 8)    (Instr. 3, 4 and 5)    (Instr. 3 and 4)    (Instr. 3 and 4)	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (A) or (D) (Execution Date, if any (Month/Day/Year)  2. Transaction Date (A) or (D) or (D) (Month/Day/Year)  2. Transaction Date (A) or (D) or (D	

Common Inc. 401(k) 2,286.702 I Stock Savings Plan (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**OMB APPROVAL** 

3235-0287

January 31,

2005

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right To Buy)	\$ 2	06/04/2013		M	3,000	(2)	01/27/2021	Common Stock	3,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VAN AMEN DARRELL 601 UNION STREET, SUITE 2000 SEATTLE, WA 98101

EVP, Chief Investment Officer

### **Signatures**

/s/ Godfrey B. Evans, attorney in fact for Darrell van Amen

06/06/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Participants in HomeStreet, Inc.'s 401(k) Savings Plan, or 401(k) Plan, have the authority to direct voting of shares they hold through the 401(k) Plan.
- (2) 25% of this option vested on the date of grant of January 27, 2011, 25% vested on February 10, 2012, 25% vested upon the termination of certain regulatory orders pertaining to HomeStreet Bank, and the remaining 25% vests on the three year anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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