#### Edgar Filing: DIGITAL POWER CORP - Form 3

#### DIGITAL POWER CORP

Form 3

October 19, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Horne William B

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

10/13/2016

DIGITAL POWER CORP [DPW]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O DIGITAL POWER CORPORATION, 48430 LAKEVIEW BLVD.

(Street)

\_X\_ Director \_\_\_\_\_ 10% Owner

(Check all applicable)

\_\_\_\_Officer \_\_\_\_Other
(give title below) (specify below)

6. Individual or Joint/GroupFiling(Check Applicable Line)\_X\_ Form filed by One Reporting

Person

\_ Form filed by More than One

Reporting Person

FREMONT, Â CAÂ 94538-3158

(City) (State) (Zip)

1.Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)
Direct (D)

or Indirect
(I)
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date Expiration Title Exercisable Date

Amount or Number of Price of Derivative
Derivative Security:
Security Direct (D)

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Shares

or Indirect
(I)

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Horne William B

C/O DIGITAL POWER CORPORATION 48430 LAKEVIEW BLVD.

Relationships

Director 10% Owner Officer Other

A X Â Â

FREMONT, CAÂ 94538-3158

### **Signatures**

/s/ William Horne 10/19/2016

\*\*Signature of Reporting Person

## **Explanation of Responses:**

No securities are beneficially owned

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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