Insys Therapeutics, Inc. Form 4

November 16, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per response... 0.5

Expires:

**OMB APPROVAL** 

3235-0287

January 31,

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* JOHN N KAPOOR TRUST DATED **SEPTEMBER 20 1989** 

> (First) (Middle) (Last)

> > (Street)

(State)

2. Issuer Name and Ticker or Trading Symbol Insys Therapeutics, Inc. [INSY]

3. Date of Earliest Transaction (Month/Day/Year)

INC., 444 SOUTH ELLIS STREET

(Zin)

11/12/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O INSYS THERAPEUTICS,

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_\_ 10% Owner Director \_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line)

31,982

D

Form filed by One Reporting Person X Form filed by More than One Reporting Person

CHANDLER, AZ 85224

(City)

Stock

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secu	rities Acc	quired, Disposed	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	11/12/2015		P	1,500	A	25.18 (1)	42,361,110	I	By Trust (2)
Common Stock	11/12/2015		P	5,500	A	\$ 26.58 (3)	42,366,610	I	By Trust (2)
Common Stock	11/12/2015		P	3,000	A	\$ 27.29 (4)	42,369,610	I	By Trust (2)
Common							31 082	D	

By Common I 56,288 Partnership Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	Secur	ant of rlying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
JOHN N KAPOOR TRUST DATED SEPTEMBER 20 1989 C/O INSYS THERAPEUTICS, INC. 444 SOUTH ELLIS STREET CHANDLER, AZ 85224		X				
KAPOOR JOHN N 444 SOUTH ELLIS STREET CHANDLER, AZ 85224	X	X	President & CEO			

## **Signatures**

/s/ John N. 11/16/2015 Kapoor

\*\*Signature of Reporting Person Date

/s/ John N. 11/16/2015 Kapoor

2 Reporting Owners

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$24.95 to \$25.57, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.
- (2) By The John N. Kapoor Trust dated September 20, 1989.
- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$25.97 to \$26.89, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.
- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$27.09 to \$27.41, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.
- (5) By EJ Financial/NEO Management, L.P. of which the reporting person is Managing General Partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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