

ServisFirst Bancshares, Inc.
Form 4
March 13, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Pouncey Clarence C. III

(Last) (First) (Middle)

P. O. BOX 1508

(Street)

BIRMINGHAM, AL 35201-1508

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

ServisFirst Bancshares, Inc. [SFBS]

3. Date of Earliest Transaction
(Month/Day/Year)

02/14/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

Executive VP and COO

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
COMMON STOCK				(A) or (D)	Price		
			Code	V	Amount		
COMMON STOCK	02/14/2017		S		8,867 (1)	D	\$ 41.9155
					19,133 (1) (2)	I	
COMMON STOCK					6,000	I	

By Entity
in which
Spouse
Holds
Interest

By
Pouncey
Education
Trust (3)

Edgar Filing: ServisFirst Bancshares, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pouncey Clarence C. III P. O. BOX 1508 BIRMINGHAM, AL 35201-1508			Executive VP and COO	

Signatures

/s/ Davis S. Mange,
attorney-in-fact

03/13/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person's spouse holds a 33 1/3% interest in the securities held by LeCroy Properties, Ltd., and reports only that 33 1/3% interest in the transaction and holding reported herein. The reporting person disclaims ownership of securities held by LeCroy Properties, Ltd. in excess of the amount reported herein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

(2) The amount of securities beneficially owned by the reporting person's spouse through LeCroy Properties, Ltd. represents 33 1/3% interest in the securities held by LeCroy Properties, Ltd. This interest was previously reported as 33%.

(3) The reporting person was appointed trustee of the Pouncey Education Trust on April 2, 2015. Members of the reporting person's immediate family are among the beneficiaries of the trust. The reporting person disclaims beneficial ownership of the SFBS common stock held by the trust except to the extent of his pecuniary interest therein.

Edgar Filing: ServisFirst Bancshares, Inc. - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.