

ServisFirst Bancshares, Inc.
Form 4
January 12, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Fuller Michael D.

(Last) (First) (Middle)

850 SHADES CREEK PARKWAY

(Street)

BIRMINGHAM, AL 35209

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ServisFirst Bancshares, Inc. [SFBS]

3. Date of Earliest Transaction
(Month/Day/Year)

01/10/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	01/10/2017		M	60,000 A	\$ 5 428,698 ⁽¹⁾	D	
COMMON STOCK					869,936 ⁽²⁾	I	By corporation owned by adult daughters
COMMON STOCK					31,500 ⁽³⁾	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
STOCK OPTION (RIGHT TO BUY)	\$ 5	01/10/2017		M	600,000	11/28/2016 11/28/2021	COMMON STOCK ⁽⁴⁾	60,0
STOCK OPTION (RIGHT TO BUY)	\$ 18.57					06/15/2018 06/15/2023	COMMON STOCK	13,0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fuller Michael D. 850 SHADES CREEK PARKWAY BIRMINGHAM, AL 35209	X			

Signatures

/s/ Davis S. Mange,
attorney-in-fact 01/12/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 184,349 additional shares of

Edgar Filing: ServisFirst Bancshares, Inc. - Form 4

ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

(2) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 434,968 additional shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

(3) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 15,750 additional shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

(4) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 30,000 additional shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

(5) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 6,500 additional shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.