

TCV VII LP
Form 4
September 06, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TCV VII LP

2. Issuer Name and Ticker or Trading Symbol
Alarm.com Holdings, Inc. [ALRM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___X___ Other (specify below)

May be part of a 13(d) group

C/O TECHNOLOGY CROSSOVER VENTURES, 250 MIDDLEFIELD ROAD

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | Code V Amount (D) Price | | | |
| Common Stock | 09/05/2018 | | J ⁽¹⁾ | 1,962,352 D \$ 0 | 5,309,108 | I | TCV VII, L.P. ⁽²⁾ |
| Common Stock | 09/05/2018 | | J ⁽³⁾ | 1,019,094 D \$ 0 | 2,757,144 | I | TCV VII (A), L.P. ⁽⁴⁾ |
| Common Stock | 09/05/2018 | | J ⁽⁵⁾ | 18,554 D \$ 0 | 50,199 | I | TCV Member Fund, L.P. ⁽⁶⁾ |
| Common Stock | | | | | 7,161 | I | TCV VII Management, L.L.C. ⁽⁷⁾ |
| | 09/05/2018 | | J ⁽⁹⁾ | 756,542 A \$ 0 | 756,542 | I | |

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| | | | | | | | | |
|--------------|------------|-------------------|---------|---|------|---------|---|--|
| Common Stock | | | | | | | | Technology Crossover Management VII, L.P. ⁽⁸⁾ |
| Common Stock | 09/05/2018 | J ⁽¹⁰⁾ | 750,073 | D | \$ 0 | 6,469 | I | Technology Crossover Management VII, L.P. ⁽⁸⁾ |
| Common Stock | 09/05/2018 | J ⁽¹¹⁾ | 70,144 | A | \$ 0 | 210,195 | I | Hoag Family Trust U/A Dtd 8/2/94 ⁽¹²⁾ |
| Common Stock | 09/05/2018 | J ⁽¹³⁾ | 70,144 | A | \$ 0 | 210,195 | I | Hamilton Investments Limited Partnership ⁽¹⁴⁾ |
| Common Stock | 09/05/2018 | J ⁽¹⁵⁾ | 96,944 | A | \$ 0 | 290,505 | I | Goose Rocks Beach Partners, L.P. ⁽¹⁶⁾ |
| Common Stock | 09/05/2018 | J ⁽¹⁷⁾ | 85,680 | A | \$ 0 | 142,800 | I | Reynolds Family Trust ⁽¹⁸⁾ |
| Common Stock | 09/05/2018 | J ⁽¹⁹⁾ | 51,348 | A | \$ 0 | 51,348 | I | Drew Family Trust dated 10/5/2004 ⁽²⁰⁾ |
| Common Stock | 09/05/2018 | J ⁽²¹⁾ | 31,434 | A | \$ 0 | 31,434 | I | Ten 271 Partners B ⁽²²⁾ |
| Common Stock | 09/05/2018 | J ⁽²³⁾ | 82,781 | A | \$ 0 | 82,781 | I | Robert W. Trudeau ⁽²⁴⁾ |
| Common Stock | 09/05/2018 | J ⁽²⁵⁾ | 31,777 | A | \$ 0 | 78,742 | I | Marshall Carroll 2000 Trust ⁽²⁶⁾ |
| Common Stock | 09/05/2018 | J ⁽²⁷⁾ | 399 | A | \$ 0 | 931 | I | Marshall Partners ⁽²⁸⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|------------------------------|
| | Director | 10% Owner | Officer | Other |
| TCV VII LP C/O TECHNOLOGY CROSSOVER VENTURES 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025 | | X | | May be part of a 13(d) group |
| TCV VII(A) L P C/O TECHNOLOGY CROSSOVER VENTURES 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025 | | X | | May be part of a 13(d) group |
| TCV Member Fund, L.P. C/O TECHNOLOGY CROSSOVER VENTURES 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025 | | X | | May be part of a 13(d) group |
| Technology Crossover Management VII, L.P. C/O TECHNOLOGY CROSSOVER VENTURES 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025 | | X | | May be part of a 13(d) group |
| HOAG JAY C C/O TECHNOLOGY CROSSOVER VENTURES 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025 | | X | | May be part of a 13(d) group |
| KIMBALL RICK C/O TECHNOLOGY CROSSOVER VENTURES 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025 | | X | | May be part of a 13(d) group |
| REYNOLDS JON Q JR C/O TECHNOLOGY CROSSOVER VENTURES 250 MIDDLEFIELD ROAD | | X | | May be part of a 13(d) group |

MENLO PARK, CA 94025

DREW JOHN

C/O TECHNOLOGY CROSSOVER VENTURES

250 MIDDLEFIELD ROAD

MENLO PARK, CA 94025

X

May be part of a 13(d)
group

Trudeau Robert

C/O TECHNOLOGY CROSSOVER VENTURES

250 MIDDLEFIELD ROAD

MENLO PARK, CA 94025

X

May be part of a 13(d)
group

Marshall Christopher P

C/O TECHNOLOGY CROSSOVER VENTURES

250 MIDDLEFIELD ROAD

MENLO PARK, CA 94025

X

May be part of a 13(d)
group

Signatures

Frederic D. Fenton, Authorized Signatory for TCV VII, L.P.

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for TCV VII (A), L.P.

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for TCV Member Fund, L.P.

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for Technology Crossover Management VII,
L.P.

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for Jay C. Hoag

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for Richard H. Kimball

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for Jon Q. Reynolds, Jr.

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for John L. Drew

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for Robert W. Trudeau

09/06/2018

__Signature of Reporting Person

Date

Frederic D. Fenton, Authorized Signatory for Christopher P. Marshall

09/06/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) In kind pro-rata distribution by TCV VII, L.P. ("TCV VII") to its partners, without consideration.

(2)

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These securities are directly held by TCV VII. Timothy P. McAdam, Jay C. Hoag, Christopher P. Marshall, Jon Q. Reynolds, Jr., Richard H. Kimball, John L. Drew, Robert W. Trudeau and David L. Yuan (collectively, the "TCM VII Directors") are Class A Directors of Technology Crossover Management VII, Ltd. ("Management VII") and Limited Partners of Technology Crossover Management VII, L.P. ("TCM VII"). Management VII is the General Partner of TCM VII, which is the General Partner of TCV VII, L.P. The TCM VII Directors, Management VII and TCM VII may be deemed to beneficially own the securities held by TCV VII, L.P., but each of the TCM VII Directors, Management VII and TCM VII disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

- (3) In kind pro-rata distribution by TCV VII (A), L.P. ("TCV VII (A)") to its partners, without consideration.

These securities are directly held by TCV VII (A). The TCM VII Directors are Class A Directors of Management VII and Limited Partners of TCM VII. Management VII is the General Partner of TCM VII, which is the General Partner of TCV VII (A). The TCM VII Directors, Management VII and TCM VII may be deemed to beneficially own the securities held by TCV VII (A), but each of the TCM VII Directors, Management VII and TCM VII disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

- (4) In kind pro-rata distribution by TCV Member Fund, L.P. ("TCV MF") to its partners, without consideration.

These securities are directly held by TCV MF. The TCM VII Directors are Class A Directors of Management VII, which is a General Partner of TCV MF, and Limited Partners of TCV MF. The TCM VII Directors and Management VII may be deemed to beneficially own the securities held by TCV MF, but the TCM VII Directors and Management VII each disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

- (5) Restricted stock units ("RSUs") held of record by Timothy P. McAdam for the benefit of TCV VII Management, L.L.C. ("TCV VII Management"). Jay C. Hoag, Christopher P. Marshall, Jon Q. Reynolds, Jr., Richard H. Kimball, John L. Drew, and Robert W. Trudeau (the "TCM Members") are members of TCV VII Management. Mr. McAdam and the TCM Members each disclaims beneficial ownership of such RSUs and the underlying shares of the Issuer's common stock except to the extent of their pecuniary interest therein.

- (6) These securities are directly held by TCM VII. The TCM VII Directors are Class A Directors of Management VII, which is the General Partner of TCM VII and Limited Partners of TCM VII. The TCM VII Directors and Management VII may be deemed to beneficially own the securities held by TCM VII, but the TCM VII Directors and Management VII each disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

Acquisition by TCM VII pursuant to an in kind pro-rata distribution by TCV VII and TCV VII (A) to each of their partners, without consideration.

- (7) In kind pro-rata distribution by TCM VII to its partners, without consideration.

- (8) Acquisition by the Hoag Family Trust U/A Dtd 8/2/94 pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.

Jay C. Hoag is the Trustee of the Hoag Family Trust U/A Dtd 8/2/94. Mr. Hoag disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

- (9) Acquisition by Hamilton Investments Limited Partnership pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.

Jay C. Hoag is a General Partner of Hamilton Investments Limited Partnership. Mr. Hoag disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

- (10) Acquisition by Goose Rocks Beach Partners, L.P. pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.

Richard H. Kimball is a General Partner of Goose Rocks Beach Partners, L.P. Mr. Kimball disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

- (11) Acquisition by the Reynolds Family Trust pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.

Jon Q. Reynolds is a Trustee of the Reynolds Family Trust. Mr. Reynolds disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

- (12) Acquisition by the Drew Family Trust dated 10/5/2004 pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.

John L. Drew is a Trustee of the Drew Family Trust dated 10/5/2004. Mr. Drew disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

- (13) Acquisition by the Drew Family Trust dated 10/5/2004 pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.

John L. Drew is a Trustee of the Drew Family Trust dated 10/5/2004. Mr. Drew disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

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- (21) Acquisition by Ten 271 Partners B pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.
- (22) John L. Drew is a General Partner of Ten 271 Partners B. Mr. Drew disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (23) Acquisition by Robert W. Trudeau pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.
- (24) Shares held directly by Robert W. Trudeau.
- (25) Acquisition by the Marshall Carroll 2000 Trust pursuant to an in kind pro-rata distribution by TCM VII and TCV MF to each of their partners, without consideration.
- (26) Christopher P. Marshall is a Trustee of the Marshall Carroll 2000 Trust. Mr. Marshall disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (27) Acquisition by Marshall Partners pursuant to an in kind pro-rata distribution by TCV MF to its partners, without consideration.
- (28) Christopher P. Marshall is a General Partner of Marshall Partners. Mr. Marshall disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

Remarks:

This Form 4 is filed by more than one Reporting Person and is a joint filing with the Form 4 filed by Timothy P. McAdam and

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.